

# Computational aspects of retrieving a representation of an algebraic geometry code\*

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## Abstract

Code-based cryptography is an interesting alternative to classic number-theoretic public key cryptosystem since it is conjectured to be secure against quantum computer attacks. Many families of codes have been proposed for these cryptosystems such as algebraic geometry codes. In [62] — for so called very strong algebraic geometry codes  $\mathcal{C} = C_L(\mathcal{X}, \mathcal{P}, E)$ , where  $\mathcal{X}$  is an algebraic curve over  $\mathbb{F}_q$ ,  $\mathcal{P}$  is an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$  and  $E$  is a divisor of  $\mathcal{X}$  with disjoint support from  $\mathcal{P}$  — it was shown that an equivalent representation  $\mathcal{C} = C_L(\mathcal{Y}, \mathcal{Q}, F)$  can be found. The  $n$ -tuple of points is obtained directly from a generator matrix of  $\mathcal{C}$ , where the columns are viewed as homogeneous coordinates of these points. The curve  $\mathcal{Y}$  is given by  $I_2(\mathcal{Y})$ , the homogeneous elements of degree 2 of the vanishing ideal  $I(\mathcal{Y})$ . Furthermore, it was shown that  $I_2(\mathcal{Y})$  can be computed efficiently as the kernel of certain linear map. What was not shown was how to get the divisor  $F$  and how to obtain efficiently an adequate decoding algorithm for the new representation. The main result of this paper is an efficient computational approach to the first problem, that

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is getting  $F$ . The security status of the McEliece public key cryptosystem using algebraic geometry codes is still not completely settled and is left as an open problem.

**Keywords:** Public key cryptosystem, Code-based cryptography, Algebraic Geometry codes, Gröbner basis.

## 1 Introduction

[67] introduced the first public key cryptosystem (PKC) based on error-correcting codes. The security of this scheme is based on the hardness of the decoding of random linear codes, or equivalently the problem of finding a minimum-weight codeword in a large linear code without any visible structure. This property makes the scheme of McEliece an interesting candidate for post-quantum cryptography. Another advantage consists of its fast encryption and decryption procedures. So one might hope that it is suitable for constrained devices like RFID tags or sensor networks, see [22] for further results related to this issue. However, it has one important disadvantage: its low encryption size compared to its large key size. This does not mean that code-based cryptography is inherently inefficient. There have been many attempts on how to reduce the key size while keeping the same level of security, see for example [4, 9, 12, 29, 71, 70, 73]. There are other public-key primitives based on the theory of error-correcting codes like signature schemes [17], stream ciphers [28] or hash functions [1].

The principle of the McEliece cryptosystem is as follows:

**Key generation:** Given  $\mathcal{C}$  an  $[n, k, d]$  linear code defined over  $\mathbb{F}_q$  with an efficient bounded distance decoding algorithm which corrects up to  $t \leq \lfloor \frac{d-1}{2} \rfloor$  errors. Let:

1.  $G$  be a generator matrix of  $\mathcal{C}$ ,
2.  $S$  be an arbitrary nonsingular matrix of size  $k \times k$ ,
3.  $P$  be an arbitrary permutation matrix of size  $n \times n$ .

Let  $G' = SGP$ . Then the *McEliece public key* and the *McEliece private key* are given respectively by

$$\mathcal{K}_{\text{pub}} = (G', t) \quad \text{and} \quad \mathcal{K}_{\text{secret}} = (G, S, P) .$$

**Encryption:** Suppose we want to send a message  $\mathbf{m} \in \mathbb{F}_q^k$  using the public key  $(G', t)$ . First, we choose a random error vector  $\mathbf{e}' \in \mathbb{F}_q^n$  with Hamming weight at most  $t$ , and then, we compute the ciphertext  $\mathbf{y}' = \mathbf{m}G' + \mathbf{e}'$ .

**Decryption:** Using the private key  $(G, S, P)$  the receiver first computes

$$\mathbf{y} := \mathbf{y}'P^{-1} = \mathbf{m}G'P^{-1} + \mathbf{e}'P^{-1} = \mathbf{m}SG + \mathbf{e}.$$

Since  $SG$  is also a generator matrix of the code  $\mathcal{C}$ , he can apply the decoding algorithm for  $\mathcal{C}$  to find  $\mathbf{m}S$  and finally obtain the plaintext  $\mathbf{m}$  from  $\mathbf{m}SS^{-1}$ .

McEliece proposed to use a [1024, 524, 101] binary Goppa code. These parameters, however, do not attain the promised security level. We have mainly two different ways of cryptanalyzing the McEliece cryptosystem. There are also some side-channel attacks [2, 87, 94] but they are beyond the scope of this article.

1. **Generic decoding attacks:** The best known technique for addressing the general decoding problem in cryptology is *Information Set Decoding* (ISD). The first approach to this method was introduced in [81]. The variants which are used today are derived mainly from the algorithms of [92] and [56]. See [15, 80] and the reference therein, for recent improvements which were presented independently. [10] presents the first successful attack on the original parameters of the McEliece's scheme that required just under 8 days. More recent results [6, 11, 27, 66] provide asymptotic improvements. Note that ISD, though much more efficient than a brute-force search, still needs exponential time in the code length. Therefore, more efficient generic attacks make the use of larger codes in the McEliece scheme necessary.

Another technique is the *Generalized Birthday Algorithm* (GBA). This method has been proposed in [97] and was generalized in [69]. GBA is sometimes faster than ISD.

2. **Structural attacks:** These attacks try to retrieve the code structure rather than attempting to use an unspecific decoding algorithm. It addresses also the question of distinguishing a code with the prescribed structure from a random one. Structural attacks were efficiently applied

to Reed Solomon codes [90], concatenated codes [85] and Reed-Muller codes [68].

[86, 58] gave an attack using the Support Splitting Algorithm. It recognizes binary Goppa codes with a binary Goppa polynomial and the secret-key is recovered for such codes of length 512 and 1024.

[25, 30] provided an algebraic attack which recovers the secret-key from certain Goppa codes from the public-key using Gröbner basis computations. This attack is efficient against quasi-dyadic and quasi-cyclic codes but is infeasible for the original McEliece system. Therefore, the McEliece scheme remains unbroken for suitable parameters choices. This has led to the statement that the generator matrix of a Goppa code does not disclose any visible structure that an attacker could exploit. However, in [23] a polynomial-time algorithm is provided that distinguishes between random codes and Goppa codes whose rate is close to 1. This distinguisher is even more powerful in the case of Reed-Solomon codes [18, 64].

Many attempts to replace Goppa codes by different families of codes have been proven to be insecure as for example using Generalized Reed-Solomon (GRS) codes in [75] which was broken in [90]. Niederreiter's system differs from McEliece's system in the public-key structure and in both encryption and decryption mechanism. It uses a parity check matrix instead of a generator matrix. This is an improvement to reduce the key size. However, this dual version of the McEliece cryptosystem is equivalent in terms of security. See [57]. Note that GRS codes are maximum distance separable codes (MDS), that is, they attain the maximum error detection / correction capability. In the McEliece cryptosystem this is interpreted as shorter keys for the same security level in comparison to the classical binary Goppa codes.

Although the Niederreiter scheme with GRS codes is completely broken, [8] proposed another version which is designed to resist the Sidelnikov-Shestakov attack. The main idea of this variant is to work with subcodes of the original GRS code rather than using the complete GRS code. However [98, 100] presented the first feasible attack to this scheme. Moreover, in [63] the authors have characterized those subcodes which are weak keys for the Berger-Loidreau cryptosystem. [99] proposed another variant of the Niederreiter scheme where a few random columns are added to a generator matrix of a GRS code. In [3] one more variant is presented. This time the

structure is hidden differently than in the McEliece cryptosystem. In [18] a cryptoanalysis of these schemes is provided.

Other classes of codes that have efficient bounded decoding algorithms, are proposed. [91] used Reed Muller codes which was cryptanalyzed by [68]. Also LDPC and MDPC codes [4, 70] were proposed but only MDPC codes remained unbroken. See for instance [5]. Another proposal used convolutional codes [59] and was broken by [49].

Algebraic geometry codes (AG codes) were introduced by [32]. The interested reader is referred to [45, 93, 96]. These codes have efficient decoding algorithms that correct up to half the designed minimum distance [7, 45, 46, 55] which is one of the main requirements for code-based cryptography. [48] proposed to use the collection of AG codes on curves and their subfield subcodes for the McEliece cryptosystem. Recall that the GRS codes can be seen as the special class of algebraic geometry codes on the projective line, that is, the algebraic curve of genus zero. Therefore, this proposal for curves of genus zero is broken by the attack of Sidelnikov-Shestakov. Moreover, [26] proved that curves of genus  $g \leq 2$  are a bad choice; their algorithm is an adaptation of the previous attack. The security status of this proposal for higher genus was not known.

The aim of this article is twofold. Firstly, to present a survey of the security status of code-based cryptography using AG codes. In [62] the authors addressed the question of retrieving a triple  $(\mathcal{Y}, \mathcal{Q}, E)$  which is isomorphic to the original representation triple of the *very strong algebraic geometry code* (VSAG)  $\mathcal{C} = \mathcal{C}_L(\mathcal{X}, \mathcal{P}, F)$  used in a McEliece cryptosystem. The problem of retrieving such triple was solved from a theoretical point of view without giving the computational details. Therefore, the second goal of this article is to provide an efficient way to compute this triple. Efficient decoding algorithms for AG codes are known, but the efficient construction of a decoding algorithm for a given triple is still lacking.

**Outline of the paper:** In Section 2, we describe the basic notions of algebraic geometry and give some specific techniques applied to coding theory. It is important to note that we define an AG code  $\mathcal{C}_L(\mathcal{X}, \mathcal{P}, E)$  even when the  $n$ -tuple  $\mathcal{P}$  is not disjoint from the divisor  $E$ . In Section 3, we collect the information from a generator matrix of a VSAG code  $\mathcal{C}$ . In particular we give the genus  $g$  of the curve  $\mathcal{X}$  and the degree  $m$  of the divisor  $E$  such that  $\mathcal{C} = \mathcal{C}_L(\mathcal{X}, P, E)$ .

In Section 4 we present the main contributions of the paper, that is how to compute the triple  $(\mathcal{Y}, \mathcal{Q}, F)$  efficiently. In Section 4.1 we give a constructive

proof of how to compute a set of generators of the ideal  $I(\mathcal{Y})$ . In Section 4.2 we give some bounds for the complexity of obtaining local parameters at the points  $Q_j$  for  $j = 1, \dots, n$ . In Section 4.3 we describe a method for determining the divisor  $F$ . At last, in Section 4.5 the main result of the paper is given. Section 5 provides some examples to illustrate this procedure.

Finally, in Section 6, we indicate some decoding algorithms for the resulting AG-code that can be used in practice.

## 2 Generalized constructions of AG codes

Let  $\mathbb{F}_q$  be a finite field with  $q$  elements and let  $\mathbb{F}_q[\mathbf{X}] = \mathbb{F}_q[X_1, \dots, X_r]$  be the polynomial ring in  $r$  variables over  $\mathbb{F}_q$ . We denote by  $\mathbb{A}^n$  the  $n$ -dimensional *affine space* and by  $\mathbb{P}^n$ , the  $n$ -dimensional projective space.

Let  $\mathcal{X}$  be an absolutely irreducible nonsingular projective curve in  $\mathbb{P}^r$  and defined over  $\mathbb{F}_q$ . The set of  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$  is denoted by  $\mathcal{X}(\mathbb{F}_q)$ . Let  $I(\mathcal{X})$  be the homogeneous vanishing ideal of  $\mathcal{X}$  in the polynomial ring  $\mathbb{F}_q[\mathbf{X}]$ . The ring

$$R = \mathbb{F}_q[X_0, \dots, X_r]/I(\mathcal{X})$$

is an integral domain, since  $\mathcal{X}$  is absolutely irreducible and  $I(\mathcal{X})$  is a prime ideal. Hence we can form  $\mathbb{Q}(R)$ , the field of fractions of  $R$ . The *function field* of  $\mathcal{X}$ , denoted by  $\mathbb{F}_q(\mathcal{X})$  is the subfield of  $\mathbb{Q}(R)$  defined by

$$\mathbb{F}_q(\mathcal{X}) = \left\{ \frac{F}{G} \mid F, G \in R \text{ both nonzero and of the same degree} \right\} \cup \{0\}.$$

The elements of  $\mathbb{F}_q(\mathcal{X})$  are called *rational functions*. Thus, every rational function of  $\mathbb{F}_q(\mathcal{X})$  could be written as a fraction of two homogeneous polynomials  $F$  and  $G$  in  $\mathbb{F}_q[\mathbf{X}]$  of the same degree such that  $G(P) \notin I(\mathcal{X})$ . Note that the fractions  $\frac{F}{G}$  and  $\frac{\hat{F}}{\hat{G}}$  define the same rational function if  $\hat{F}G - F\hat{G} \in I(\mathcal{X})$ .

Let  $P$  be a point on  $\mathcal{X}$ . A rational function  $f \in \mathbb{F}_q(\mathcal{X})$  is called *regular* at the point  $P$  if one can find homogeneous polynomials  $F$  and  $G$  of the same degree, such that  $G(P) \neq 0$  and  $f$  is in the coset of  $\frac{F}{G}$ . Note that, if  $\mathcal{X}$  is affine, then the coordinate ring of  $\mathcal{X}$  coincides with the ring of regular functions on  $\mathcal{X}$ ; but if  $\mathcal{X}$  is projective, then there are no regular functions on  $\mathcal{X}$ , except constant functions.

**Definition 1** *Let  $P$  be a  $\mathbb{F}_q$ -rational point of  $\mathcal{X}$ . The set of rational functions that are regular at  $P$  is the local ring  $\mathcal{O}_P(\mathcal{X})$  of the point  $P$ , which is indeed a*

local ring in the algebraic sense. That is, it has a unique maximal ideal  $\mathcal{M}_P$  which consists of the set of functions in  $\mathcal{O}_P(\mathcal{X})$  that are zero in  $P$ . The factor ring  $\mathbb{F}_P = \mathcal{O}_P(\mathcal{X})/\mathcal{M}_P$  is a field called the residue class field of  $P$  which can be identified with the field of constants  $\mathbb{F}_q$ . Note that, if  $f \in \mathcal{O}_P(\mathcal{X})$ , then its coset modulo  $\mathcal{M}_P$  is in  $\mathbb{F}_q$  and it is called the value or evaluation of  $f$  at  $P$ , denoted by  $f(P)$ .

Moreover, the maximal ideal  $\mathcal{M}_P$  is a principal ideal domain. That is to say,  $\mathcal{M}_P$  has one generator. See [45] for more details. Let  $p$  be a generator of  $\mathcal{M}_P$ , called local parameter or prime element in  $P$ . Then, we can write every nonzero rational function  $f \in \mathbb{F}_q(\mathcal{X})$  in a unique way as  $f = up^m$  where  $u$  is a unit of  $\mathcal{O}_P(\mathcal{X})$  and  $m \in \mathbb{Z}_{\geq 0}$ . The integer  $m$  does not depend on the chosen local parameter but only on the rational function  $f$  and the point  $P$ ; and it is called the valuation of  $f$  at  $P$ , denoted by  $v_P(f)$ . If  $v_P(f) = m > 0$ , then  $P$  is a zero of  $f$  of multiplicity (or order)  $m$  and if  $v_P(f) = m < 0$ , then  $P$  is a pole of  $f$  of order  $-m$ . We use the convention  $v_P(0) = \infty$ . It is easily checked that the map  $v_P : \mathbb{F}_q(\mathcal{X}) \rightarrow \mathbb{Z}$  satisfies the following properties:

1.  $v_P(fg) = v_P(f) + v_P(g)$ .
2.  $v_P(f + g) \geq \min\{v_P(f), v_P(g)\}$ .
3.  $v_P(\lambda f) = v_P(f)$  for all nonzero  $\lambda \in \mathbb{F}_q$ .
4.  $v_P(f) = \infty$  if and only if  $f = 0$ .

A  $\mathbb{F}_q$ -rational point corresponds to a place of degree one. More generally, if  $P$  is a *place*, then the residue class field of  $P$ , denoted by  $\mathbb{F}_P$ , is a finite extension of the field of constants  $\mathbb{F}_q$ . The degree of this extension is called the *degree of the place*. If  $f$  is regular at  $P$ , then  $f(P)$ , the value  $f$  at  $P$ , is in  $\mathbb{F}_P$ , see [93].

**Remark 2** . Let  $\mathcal{X}$  be a nonsingular projective curve in  $\mathbb{P}^r$  and defined over  $\mathbb{F}_q$ . Let  $P$  be a  $\mathbb{F}_q$ -rational point of  $\mathcal{X}$ . Let  $\mathcal{L}$  be the tangent line of  $\mathcal{X}$  at  $P$ . Let  $h$  be a homogeneous linear function such that  $h = 0$  defines the hyperplane  $\mathcal{H}$ . Note that the intersection multiplicity of  $\mathcal{H}$  with  $\mathcal{X}$  at  $P$  is at least one if and only if  $P$  lies in  $\mathcal{H}$ , and is at least two if and only if  $\mathcal{L}$  lies in  $\mathcal{H}$ . Therefore, in order to get a local parameter at  $P$  one proceeds as follows. Let  $h_1$  and  $h_2$  be two homogeneous linear functions that define the hyperplanes  $\mathcal{H}_1$  and  $\mathcal{H}_2$ , respectively. Suppose that  $P$  is in  $\mathcal{H}_1$  but  $\mathcal{H}_1$  does not contain  $\mathcal{L}$ , and  $P$  is not in  $\mathcal{H}_2$ . Then  $p = \frac{h_1}{h_2}$  is a local parameter of  $\mathcal{X}$  at  $P$ .

**Definition 3** A divisor  $D$  on  $\mathcal{X}$  is a formal finite sum  $D = \sum_{P \in \mathcal{X}} n_P P$  with  $n_P \in \mathbb{Z}$ . If all coefficients  $n_P$  are nonnegative,  $D$  is called an effective divisor, denoted by  $D \geq 0$ . The support  $\text{supp}(D)$  of a divisor  $D$  is the set  $\{P \mid n_P \neq 0\}$ . The degree  $\text{deg}(D)$  of a divisor  $D$  is the integer  $\sum_{P \in \mathcal{X}} n_P$ .

Let  $f \in \mathbb{F}_q(\mathcal{X})$  be an arbitrary nonzero rational function. Define the divisor of  $f$ , denoted by  $(f)$ , by

$$(f) = \sum_{P \in \mathcal{X}} v_P(f)P = (f)_0 + (f)_\infty$$

where

$$(f)_0 = \sum_{P \text{ zero of } f} v_P(f)P \quad \text{and} \quad (f)_\infty = \sum_{P \text{ pole of } f} v_P(f)P .$$

Therefore,  $(f)$  should be thought of as “the zeros of  $f$  minus the poles of  $f$ ”. The divisor of a rational function is called a principal divisor. Note that the degree of a principal divisor is zero, since it is the difference of two intersection divisors of the same degree.

Two divisors  $D$  and  $E$  on a curve are called rational equivalent if there exists a rational function  $f$  on  $\mathcal{X}$  such that  $E = D + (f)$ , this is denoted by  $D \equiv E$ . Moreover, the divisors  $D$  and  $E$  on a curve with disjoint support with  $\mathcal{P} = (P_1, \dots, P_n)$  are called rational equivalent with respect to  $\mathcal{P}$ , and denoted by  $D \equiv_{\mathcal{P}} E$ , if there exists a rational function  $f$  such that  $f$  has no poles at the points of  $\mathcal{P}$ ,  $E = D + (f)$  and  $f(P_j) = 1$  for  $j = 1, \dots, n$ .

We define the space of rational functions associated to the divisor  $D$  by

$$\mathcal{L}(D) = \{f \in \mathbb{F}_q(\mathcal{X}) \mid f = 0 \text{ or } (f) + D \geq 0\} .$$

Let  $\mathcal{P} = (P_1, \dots, P_n)$  be an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$  and let  $P = P_1 + \dots + P_n$  be the divisor whose support is the complete set of points of  $\mathcal{P}$ . Let  $E$  be a divisor of  $\mathcal{X}$  with disjoint support from  $P$ , then the following evaluation map

$$\text{ev}_{\mathcal{P}} : \mathcal{L}(E) \longrightarrow \mathbb{F}_q^n$$

is well defined by  $\text{ev}_{\mathcal{P}}(f) = (f(P_1), \dots, f(P_n))$ . Indeed, let  $f$  be a nonzero element of  $\mathcal{L}(E)$ , that is,  $(f) \geq -E$ , if  $P_j$  is not in the support of  $E$ , then  $v_{P_j}(f) \geq 0$  and  $f$  is regular at  $P_j$ , so  $f(P_j)$ , the value of  $f$  at  $P_j$ , is well defined.

**Definition 4** Let  $\mathcal{X}$  be an absolutely irreducible nonsingular projective curve over  $\mathbb{F}_q$  of genus  $g$ . Let  $\mathcal{P} = (P_1, \dots, P_n)$  be an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$  and let  $E$  be a divisor of  $\mathcal{X}$  of degree  $m$  with disjoint support from  $P = P_1 + \dots + P_n$ . Then, the algebraic geometry (AG) code  $C_L(\mathcal{X}, \mathcal{P}, E)$  of length  $n$  over  $\mathbb{F}_q$  is the image of  $\mathcal{L}(E)$  under the evaluation map  $\text{ev}_{\mathcal{P}}$ .

Note that, if  $\{f_1, \dots, f_k\}$  is a basis for  $\mathcal{L}(E)$ , then the  $k \times n$  matrix  $G$  with entries  $f_i(P_j)$  for  $i = 1, \dots, k$ ,  $j = 1, \dots, n$  is a generator matrix of the code  $C_L(\mathcal{X}, \mathcal{P}, E)$ .

The parameters of this code satisfies the following bounds:

**Theorem 5** If  $2g - 2 < m < n$ , then  $C_L(\mathcal{X}, \mathcal{P}, E)$  has dimension  $m + 1 - g$  and minimum distance at least  $n - m$ .

*Proof.* This is a classical result. See [32, 45, 96] and in particular [93, Theorem 2.2.2]. ■

**Remark 6** Recall that the codes  $C$  and  $D$  are called generalized equivalent if there exist a permutation matrix  $P$  and a diagonal matrix  $M$  with nonzero entries on the diagonal such that  $PM(C) = D$ . The codes  $C$  and  $D$  are called scalar equivalent [62, Definition 2] if there exists a diagonal matrix  $M$  with nonzero entries on the diagonal such that  $M(C) = D$ . There is an easy and efficient way to find such a diagonal matrix if the generators matrices of two scalar equivalent codes are given. Furthermore, if the codes  $C$  and  $D$  are scalar equivalent, and  $C$  has an efficient decoding algorithm, then this algorithm is easily and efficiently transformed in an efficient decoding algorithm for  $D$ .

**Definition 7** Two representations  $(\mathcal{X}, \mathcal{P}, E)$  and  $(\mathcal{Y}, \mathcal{Q}, F)$  are called equivalent or isomorphic if there is an isomorphism of curves  $\varphi: \mathcal{X} \rightarrow \mathcal{Y}$  such that  $\varphi(\mathcal{P}) = \mathcal{Q}$  and  $\varphi(E) \equiv F$ . This isomorphism  $\varphi$  is called strict if  $\varphi(E) \equiv_{\mathcal{Q}} F$ .

**Proposition 8** Let  $(\mathcal{X}, \mathcal{P}, E)$  and  $(\mathcal{Y}, \mathcal{Q}, F)$  be two representation triples of the algebraic-geometric codes  $\mathcal{C}$  and  $\mathcal{D}$ , respectively. Then:

1. If  $(\mathcal{X}, \mathcal{P}, E)$  and  $(\mathcal{Y}, \mathcal{Q}, F)$  are equivalent, then  $\mathcal{C}$  and  $\mathcal{D}$  are scalar equivalent.

2. If  $(\mathcal{X}, \mathcal{P}, E)$  and  $(\mathcal{Y}, \mathcal{Q}, F)$  are strict equivalent, then  $\mathcal{C} = \mathcal{D}$ .

*Proof.* See [62, Proposition 4]. ■

**Definition 9** A code  $\mathcal{C}$  over  $\mathbb{F}_q$  is called very strong algebraic-geometric (VSAG) if  $\mathcal{C}$  is an AG code represented by a triple  $(\mathcal{X}, \mathcal{P}, E)$  where the curve  $\mathcal{X}$  over  $\mathbb{F}_q$  has genus  $g$ ,  $\mathcal{P}$  consists of  $n$  points and  $E$  has degree  $m$  such that

$$2g + 2 \leq m < \frac{1}{2}n \quad \text{or} \quad \frac{1}{2}n + 2g - 2 < m \leq n - 4.$$

**Remark 10** The dimension of such a code is  $k = m + 1 - g$ , thus the dimension satisfies the following bounds

$$g + 3 \leq k < \frac{1}{2}n - g + 1 \quad \text{or} \quad \frac{1}{2}n + g - 1 < k \leq n - g - 3.$$

Note that if a code has a VSAG representation then its dual is also VSAG. Therefore by duality we may just assume that  $2g + 2 \leq m < \frac{1}{2}n$ .

From now on, let  $\mathcal{X}$  be an irreducible nonsingular projective curve in  $\mathbb{P}^r$  and defined over  $\mathbb{F}_q$  of degree  $l$ . Recall that the degree of a projective curve is the maximal number of points in the intersection with a hyperplane not containing the curve. Let  $R_d$  be the subspace of  $R = \mathbb{F}_q[\mathbf{X}]/I(\mathcal{X})$  given by cosets modulo  $I(\mathcal{X})$  of homogeneous polynomials of degree  $d$ . Then,  $R$  is a graded  $\mathbb{F}_q$ -algebra with  $R_d$  as its graded part of degree  $d$ . Let  $f$  and  $g$  be homogeneous polynomials of degree  $d$  that are not in  $I(\mathcal{X})$ . Therefore, its cosets are in  $R_d$  and  $f = 0$  and  $g = 0$  define hypersurfaces  $\mathcal{Y}$  and  $\mathcal{Z}$ , respectively of degree  $d$  in  $\mathbb{P}^r$  such that  $\mathcal{X}$  is not contained neither in  $\mathcal{Y}$  nor in  $\mathcal{Z}$ . By Bézout Theorem, the intersections  $\mathcal{X} \cdot \mathcal{Y}$  and  $\mathcal{X} \cdot \mathcal{Z}$ , where multiplicities are counted, are divisors on  $\mathcal{X}$  of degree  $ld$  and  $f/g$  is a rational function on  $\mathcal{X}$  with principal divisor

$$\left(\frac{f}{g}\right) = \mathcal{X} \cdot \mathcal{Y} - \mathcal{X} \cdot \mathcal{Z}.$$

In particular, if  $h$  is a homogeneous linear polynomial, then  $h = 0$  defines a hyperplane  $\mathcal{H}$ . After a change of coordinates we may assume that  $h = X_0$ . Then, the complement of this hyperplane is the affine space  $\mathbb{A}^r$  and the points in this complement have coordinates  $(1 : x_1 : \dots : x_r)$ . Let  $x_i = X_i/X_0$ . Then the coordinate ring of  $\mathbb{A}^r$  is  $\mathbb{F}_q[x_1, \dots, x_r]$ . Furthermore  $\mathcal{X}_0 = \mathcal{X} \setminus \mathcal{H}$  is an affine curve in  $\mathbb{A}^r$  and its vanishing ideal is given by

$$I(\mathcal{X}_0) = \{f(1, x_1, \dots, x_r) \mid f(X_0 : X_1 : \dots : X_r) \in I(\mathcal{X})\}.$$

This vanishing ideal is a prime ideal and its factor ring  $\mathbb{F}_q[x_1, \dots, x_r]/I(\mathcal{X}_0)$ , is an integral domain and it is called the *coordinate ring* of  $\mathcal{X}_0$  and is denoted by  $\mathbb{F}_q[\mathcal{X}_0]$ . Its field of fractions is isomorphic to the field of rational functions of  $\mathcal{X}$ :

$$\mathbb{F}_q(\mathcal{X}) \simeq \mathbb{Q}(\mathbb{F}_q[\mathcal{X}_0]).$$

## 2.1 How to proceed when the $n$ -tuple $\mathcal{P}$ do not meet all the “normal” conditions?

**Remark 11** *Let  $\mathcal{P}$  be an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$ . It is convenient and usually assumed in the definition of the AG code  $C_L(\mathcal{X}, \mathcal{P}, E)$  that the affine description of  $\mathcal{X}_0 = \mathcal{X} \setminus \mathcal{H}$  of the projective curve  $\mathcal{X}$  is given and that the  $n$ -tuple  $\mathcal{P}$  is disjoint from the hyperplane  $\mathcal{H}$ , so that it lies in the affine curve  $\mathcal{X}_0$ .*

*However, it might be difficult to find a hyperplane that is disjoint from  $\mathcal{P}$ , or even that all hyperplanes that are defined over  $\mathbb{F}_q$  have a nonempty intersection with  $\mathcal{P}$ . One can remedy this by taking an extension of  $\mathbb{F}_q$ , as we will see in Example 22. But then, the code is defined over this extension and no longer over  $\mathbb{F}_q$  itself. Alternatively, for every point  $P_j$  of  $\mathcal{P}$  there exists a hyperplane  $\mathcal{H}_j$  over  $\mathbb{F}_q$  that is disjoint from  $P_j$ , and one considers  $P_j$  in the affine curve  $\mathcal{X} \setminus \mathcal{H}_j$  for every  $j$  separately.*

**Remark 12** *Furthermore, it is usually assumed that  $\mathcal{P} = (P_1, \dots, P_n)$  is disjoint from the support of the divisor  $E$ . This assumption is convenient but not really necessary as we will see in the following lines. See [96, Chap. 3.1, p. 271] for further details.*

*Suppose the divisor  $E$  is given by the formal sum  $E = \sum m_Q Q$ , and let  $f$  be a nonzero element of  $\mathcal{L}(E)$ , then  $(f) \geq -E$ , that is,  $v_Q(f) \geq -m_Q$  for all places  $Q$ . If  $P = P_1 + \dots + P_n$  is not disjoint from  $E$ , then  $P_j = Q$  for some place with  $m_Q \neq 0$ . Let  $p_j$  be a local parameter at  $P_j$ . Then,*

$$v_{P_j}(p_j^{m_Q} f) = m_Q + v_{P_j}(f) \geq 0,$$

*that is,  $p_j^{m_Q} f$  is regular at  $P_j$ . The value of  $f$  at  $P_j$  is now defined by the value of  $p_j^{m_Q} f$ .*

*Note that this definition depends not only on the  $P_j$ 's but also on the divisor  $E$  and the choice of the local parameter  $p_j$  at  $P_j$ . Let  $\hat{p}_j$  be another local parameter at  $P_j$ , then the evaluation  $f$  at  $P_j$  with respect to  $\hat{p}_j$  is the*

nonzero scalar  $(\hat{p}_j/p_j)^{m_Q}$  times the evaluation  $f$  at  $P_j$  with respect to  $p_j$ . Let  $\mathbf{p} = (p_1, \dots, p_n)$  be an  $n$ -tuple, where  $p_j$  is a local parameter at  $P_j$ . In this way the evaluation map

$$ev_{\mathbf{p},E} : \mathcal{L}(E) \longrightarrow \mathbb{F}_q^n$$

is generalized to an arbitrary divisors  $E$ , that is without assuming that the support of  $E$  is disjoint from  $\mathcal{P}$ . The algebraic geometry code  $C_L(\mathcal{X}, \mathbf{p}, E)$  constructed using the triple  $(\mathcal{X}, \mathbf{p}, E)$  is the image of  $\mathcal{L}(E)$  under the evaluation map  $ev_{\mathbf{p},E}$ .

**Remark 13** From Remark 6 and 12 we conclude that if  $\mathbf{p}$  and  $\hat{\mathbf{p}}$  are two  $n$ -tuples such that  $p_j$  and  $\hat{p}_j$  are local parameters of  $P_j$  for all  $j$ , then  $C_L(\mathcal{X}, \hat{\mathbf{p}}, E)$  is scalar equivalent with  $C_L(\mathcal{X}, \mathbf{p}, E)$ . Hence we have shown that the code  $C_L(\mathcal{X}, \mathcal{P}, E)$  is well defined up to scalar equivalence, even if  $\mathcal{P}$  is not disjoint from the support of  $E$ .

The second way to deal with this problem is to take a rational function  $f$  such that the support of  $E + (f)$  is disjoint from  $\mathcal{P}$ . See [79, Remark 20]. The existence of such a function is assured by the Approximation Theorem [93, I.6.4]. Then the code  $C_L(\mathcal{X}, \mathcal{P}, E + (f))$  is well defined. If we take another rational function  $f'$  such that the support of  $E + (f')$  is disjoint from  $\mathcal{P}$ , then  $f'/f$  is regular at  $P_j$  and  $\lambda_j = (f'/f)(P_j) \neq 0$  for all  $j$ . Therefore the codes  $C_L(\mathcal{X}, \mathcal{P}, E + (f))$  and  $C_L(\mathcal{X}, \mathcal{P}, E + (f'))$  are both well defined and scalar equivalent with diagonal matrix whose diagonal entries are  $(\lambda_1, \dots, \lambda_n)$ .

The connection between the two approaches is as follows. Let  $p_j$  be local parameter at  $P_j$  and  $E = \sum m_Q Q$ . Let

$$f = \prod_{P_j=Q} p_j^{m_Q}.$$

If  $p_i$  is regular at  $P_j$  and not zero for all  $i \neq j$ , then the support of  $E + (f)$  is disjoint from  $\mathcal{P}$  and

$$C_L(\mathcal{X}, \mathcal{P}, E + (f)) = C_L(\mathcal{X}, \mathbf{p}, E).$$

**Example 14** This is treated in [79, Remark 26]. Consider the projective plane curve  $\mathcal{X}$  over  $\mathbb{F}_2$  of genus 3 given by the nonsingular equation:

$$X_1 X_2 (X_1 + X_2) (X_1 + X_0) + X_1 X_0^2 (X_1 + X_0) + X_2^2 X_0 (X_2 + X_0) = 0.$$

Then this curve has the 7 points of the Fano plane  $\mathbb{P}^2(\mathbb{F}_2)$  as its  $\mathbb{F}_2$ -rational points. Let  $\mathcal{P}$  be the 7-tuple of these rational points. The 7 points and the 7 lines of the Fano plane and the intersection divisors of these lines with the curve are given in Table 1.

$i$	$P_i$	$\mathcal{L}_i$	$\mathcal{L}_i \cdot \mathcal{X}$
1	(1:0:0)	$X_1 = 0$	$2P_1 + P_2 + P_3$
2	(0:0:1)	$X_0 = 0$	$2P_2 + P_4 + P_6$
3	(1:0:1)	$X_0 + X_2 = 0$	$2P_3 + P_4 + P_7$
4	(0:1:0)	$X_2 = 0$	$P_1 + 2P_4 + P_5$
5	(1:1:0)	$X_0 + X_1 = 0$	$P_2 + 2P_5 + P_7$
6	(0:1:1)	$X_0 + X_1 + X_2 = 0$	$P_3 + P_5 + 2P_6$
7	(1:1:1)	$X_1 + X_2 = 0$	$P_1 + P_6 + 2P_7$

Table 1: The 7 points and the 7 lines of the Fano plane with the intersection divisors of Example 14.

All these 7 lines intersect  $\mathcal{X}$  in 3 points. So there is no line defined over  $\mathbb{F}_2$  that is disjoint from  $\mathcal{X}$ . The affine equation of the curve that is in the complement of the line  $\mathcal{L}_2$  with equation  $X_0 = 0$  is given by

$$x_1x_2(x_1 + x_2)(x_1 + 1) + x_1(x_1 + 1) + x_2^2(x_2 + 1) = 0,$$

with affine coordinates  $x_1 = X_1/X_0$  and  $x_2 = X_2/X_0$ . Then, the points  $P_2$ ,  $P_4$  and  $P_6$  lie on the line  $\mathcal{L}_2$  at “infinity”. The points  $P_1, P_3, P_5$  and  $P_7$  lie in the affine part of the curve and have affine coordinates  $(0, 0)$ ,  $(0, 1)$ ,  $(1, 0)$  and  $(1, 1)$ , respectively. Define

$$E = \mathcal{L}_2 \cdot \mathcal{X} = 2P_2 + P_4 + P_6.$$

Then  $E$  is a canonical divisor and the divisors of  $X_1/X_0$  and  $X_2/X_0$  are given by

$$\left(\frac{X_1}{X_0}\right) = \mathcal{L}_1 \cdot \mathcal{X} - \mathcal{L}_2 \cdot \mathcal{X} = 2P_1 + P_3 - P_2 - P_4 - P_6$$

and

$$\left(\frac{X_2}{X_0}\right) = \mathcal{L}_1 \cdot \mathcal{X} - \mathcal{L}_2 \cdot \mathcal{X} = P_1 + P_4 + P_5 - 2P_2 - P_6.$$

So the functions  $f_0 = 1$ ,  $f_1 = X_1/X_0$  and  $f_2 = X_2/X_1$  are elements of  $\mathcal{L}(E)$  and  $l(E) = g = 3$ . Hence,  $f_0, f_1$  and  $f_2$  form a basis of  $\mathcal{L}(E)$ . These functions are easily evaluated at the points  $P_1, P_3, P_5$  and  $P_7$  (see Table 2), since they have affine coordinates  $(x_1, x_2) = (0, 0), (0, 1), (1, 0)$  and  $(1, 1)$ , respectively.

$\text{ev}_{\mathbf{p}, E}$	$P_1$	$P_3$	$P_5$	$P_7$
$f_0 = 1$	1	1	1	1
$f_1 = x_1$	0	0	1	1
$f_2 = x_2$	0	1	0	1

Table 2: Evaluation of  $f_0, f_1$  and  $f_2$  at the points  $P_1, P_3, P_5$  and  $P_7$ .

We need to find local parameters at  $P_2, P_4$  and  $P_6$  to evaluate those functions at these three points. By Remark 11, the points  $P_4$  and  $P_6$  lie on the affine chart  $\mathcal{U}_1$ , where  $\mathcal{U}_1$  is complement of the hyperplane  $\mathcal{H}_1$  with equation  $\mathcal{X}_1 = 0$ . Then, the affine curve  $\mathcal{X}_1 = \mathcal{X} \setminus \mathcal{H}_1 = \mathcal{X} \cap \mathcal{U}_1$  has affine equation

$$x_2(1 + x_2)(1 + x_0) + x_0^2(1 + x_0) + x_2^2x_0(x_2 + x_0) = 0,$$

with affine coordinates  $x_0 = X_0/X_1$  and  $x_2 = X_2/X_1$ . The basis of  $\mathcal{L}(E)$  has in these coordinates the form  $f_0 = 1$ ,  $f_1 = X_1/X_0 = 1/x_0$  and  $f_2 = X_2/X_0 = x_2/x_0$ . Using Remark 2, we see that  $p_1 = X_0/X_1$  is a local parameter at  $P_4$  and  $P_6$ .

$\text{ev}_{\mathbf{p}, E}$	$P_4$	$P_6$
$p_1 f_0 = x_0$	0	0
$p_1 f_1 = 1$	1	1
$p_1 f_2 = x_2$	0	1

Table 3: Evaluation of  $f_0, f_1$  and  $f_2$  at the points  $P_4$  and  $P_6$ .

Now  $p_2 = X_1/X_2$  is a local parameter at  $P_2$ , but the multiplicity of  $E$  at  $P_2$  is 2. So we have to evaluate  $p_2^2 f_0 = X_1^2/X_2^2$ ,  $p_2^2 f_1 = X_1^3/X_0 X_2^2$  and  $p_2^2 f_2 = X_1^2/X_0 X_2$

at  $P_2$ . The divisors of these functions are given by

$$\begin{cases} \left(\frac{X_1^2}{X_2^2}\right) &= 2P_1 + 2P_2 + 2P_3 - 4P_4 - 2P_5, \\ \left(\frac{X_1^3}{X_0X_2^2}\right) &= 4P_1 + P_2 + 2P_3 - 5P_4 - P_5 - P_6, \\ \left(\frac{X_1^2}{X_0X_2}\right) &= 3P_1 + P_3 - 3P_4 - P_6. \end{cases}$$

Thus,  $p_2^2f_0$ ,  $p_2^2f_1$  and  $p_2^2f_2$  are regular at  $P_2$  and

$$p_2^2f_0(P_2) = 0, \quad p_2^2f_1(P_2) = 0 \quad \text{and} \quad p_2^2f_2(P_2) \neq 0.$$

The only option for  $p_2^2f_2(P_2)$  is 1, since the value is binary and not zero.

$\text{ev}_{\mathbf{p},E}$	$P_2$
$p_2^2f_0 = x_1^2$	0
$p_2^2f_1 = x_1^3/x_0$	0
$p_2^2f_2 = x_1^2/x_0$	1

Table 4: Evaluation of  $f_0$ ,  $f_1$  and  $f_2$  at the point  $P_2$ .

Therefore the code  $C_L(\mathcal{X}, \mathbf{p}, E)$  has generator matrix

$$G = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \in \mathbb{F}_2^{3 \times 7}.$$

**Remark 15** We mention the following papers that are devoted to the construction of the Riemann-Roch space  $\mathcal{L}(E)$  using the theory of Brill-Noether and Coates and the construction of AG codes by [33], [53, 52, 54], [20, 21], [47], [43, 44], [50, 51], [65] and [40, 41]. Computer algebra packages are developed for Axiom by [36, 39, 37, 38], for Magma by [78, 77, 101] and for Singular by [13, 14].

### 3 Retrieving the genus and the degree of the divisor

Let  $\mathcal{X}$  be a curve over the perfect field  $\mathbb{F}$  of genus  $g$ . Let  $E$  be a divisor on  $\mathcal{X}$ . Let  $\mathcal{L}(E)^{(d)}$  be the vector space generated by  $d$ -fold products of elements in

$\mathcal{L}(E)$ , that is generated by  $f_1 \cdots f_d$ , with  $f_1, \dots, f_d \in \mathcal{L}(E)$ . Let  $\mathcal{C}$  be a linear code in  $\mathbb{F}_q^n$ . Then  $\mathcal{C}^{(d)}$  is the subcode of  $\mathbb{F}_q^n$  that is generated by  $\mathbf{c}_1 * \cdots * \mathbf{c}_d$ , with  $\mathbf{c}_1, \dots, \mathbf{c}_d \in \mathcal{C}$ , where  $*$  is the component-wise or Schur product of  $\mathbb{F}_q^n$ . See [16, §4 Definition 6] and [100, 62].

We consider  $\mathcal{C}^{(2)}$ , for retrieving the genus and the degree  $m$  of the divisor. We shall use the following results.

**Proposition 16** *Let  $\mathcal{X}$  be a curve over the perfect field  $\mathbb{F}$  of genus  $g$ . Let  $E$  be a divisor on  $\mathcal{X}$  of degree  $m$ . If  $m \geq 2g + 1$  and  $d \geq 1$ , then*

$$\mathcal{L}(E)^{(d)} = \mathcal{L}(dE).$$

*Proof.* See [74, 82]. In case  $E$  is a canonical divisor on a nonhyperelliptic curve this is called the Theorem of Max Noether-Enriques-Petri. See [76, 83], [34, Chap. 2 §3] and [84, Theorem 1.2]. ■

**Corollary 17** *Let  $\mathcal{X}$  be a curve over  $\mathbb{F}_q$  of genus  $g$ . Let  $E$  be a divisor on  $\mathcal{X}$  of degree  $m$ . Let  $\mathcal{C} = C_L(\mathcal{X}, \mathcal{P}, E)$ . If  $m \geq 2g + 1$  and  $d \geq 1$ , then*

$$\mathcal{C}^{(d)} = C_L(\mathcal{X}, \mathcal{P}, dE).$$

*Proof.* Notice that  $C_L(\mathcal{X}, \mathcal{P}, E)^{(d)} = \text{ev}_{\mathcal{P}}(\mathcal{L}(E)^{(d)})$ , since  $\text{ev}_{\mathcal{P}}(fg) = \text{ev}_{\mathcal{P}}(f) * \text{ev}_{\mathcal{P}}(g)$  for all  $f, g$  in  $\mathcal{L}(E)$ . Now this corollary is a direct consequence of Proposition 16. ■

**Proposition 18** *Let  $\mathcal{C}$  be an AG code represented by the triple  $(\mathcal{X}, \mathcal{P}, E)$ . Let  $g$  denote the genus of the algebraic curve  $\mathcal{X}$  and let  $m$  be the degree of the divisor  $E$ . Let  $k_1$  and  $k_2$  be the dimension of  $\mathcal{C}$  and  $\mathcal{C}^{(2)}$ , respectively. If  $2g + 1 \leq m < \frac{1}{2}n$ , then*

$$m = k_2 - k_1 \quad \text{and} \quad g = k_2 - 2k_1 + 1.$$

*Proof.* Let  $(\mathcal{X}, \mathcal{P}, E)$  be a representation of  $\mathcal{C}$ . Assume that  $2g + 2 \leq m < \frac{1}{2}n$ . Then  $\mathcal{C}^{(d)} = C_L(\mathcal{X}, \mathcal{P}, dE)$  for all  $d$  by Corollary 17. So  $k_1 = m - g + 1$  and  $k_2 = 2m - g + 1$ , since  $\deg(dE) < n$  for  $d = 1$  and  $d = 2$ . Hence  $k_2 - k_1 = m$  and  $k_2 - 2k_1 + 1 = g$ . ■

Therefore any attacker, knowing a generator matrix  $G \in \mathbb{F}_q^{k_1 \times n}$  of a VSAG code  $\mathcal{C}$ , will be able to obtain the values of  $m$  and  $g$ , since by duality we may assume that  $2g + 2 \leq m < \frac{1}{2}n$ .

## 4 Computing the triple $(\mathcal{Y}, \mathbf{q}, F)$

Suppose that we are using algebraic geometry codes in the McEliece public key cryptosystem. In the following we make a distinction in notation between the *secret key*  $(\mathcal{X}, \mathbf{p}, E)$  and the triple  $(\mathcal{Y}, \mathbf{q}, F)$  that will be obtained from the *public key*, that is a generator matrix  $G$  of the code  $C_L(\mathcal{X}, \mathbf{p}, E)$ . Now  $\mathcal{X}$  is a projective curve of genus  $g$  in  $\mathbb{P}^r$  and defined over  $\mathbb{F}_q$ ,  $\mathcal{P}$  is an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{X}$  and  $E$  is a divisor of  $\mathcal{X}$  of degree  $m$ . Let  $I(\mathcal{X})$  be the homogeneous vanishing ideal of  $\mathcal{X}$  in the polynomial ring  $\mathbb{F}_q[X_0, X_1, \dots, X_r]$  with factor ring  $R = \mathbb{F}_q[X_0, X_1, \dots, X_r]/I(\mathcal{X})$ .

In [62] the authors address the question of retrieving a triple  $(\mathcal{Y}, \mathcal{Q}, F)$  that is isomorphic to the triple  $(\mathcal{X}, \mathcal{P}, E)$  from a given  $k \times n$  generator matrix  $G$  of a very strong algebraic geometry (VSAG) code  $C_L(\mathcal{X}, \mathcal{P}, E)$ , see definition 9. Then, the dimension  $k$  of this code is  $m + 1 - g$ . By duality we may, from now on, assume that  $2g + 2 \leq m < \frac{1}{2}n$ . Let  $s = k - 1$ , take the columns of  $G$  as homogeneous coordinates of points in  $\mathbb{P}^s(\mathbb{F}_q)$ , this gives the associated projective system  $\mathcal{Q} = (Q_1, \dots, Q_n)$ . By [62, Proposition 7] there exists an embedding of the curve  $\mathcal{X}$  in  $\mathbb{P}^s$  of degree  $m$

$$\begin{aligned} \varphi_E: \mathcal{X} &\longrightarrow \mathbb{P}^s \\ P &\longmapsto \varphi_E(P) = (f_0(P), \dots, f_s(P)) \end{aligned}$$

where  $\{f_0, \dots, f_s\}$  is a basis of  $\mathcal{L}(E)$  such that  $\mathcal{X}$  is isomorphic to the curve  $\mathcal{Y} = \varphi_E(\mathcal{X})$  in  $\mathbb{P}^s$  of degree  $m$  that is defined over  $\mathbb{F}_q$ . Now  $\mathcal{Q} = \varphi_E(\mathcal{P})$  is an  $n$ -tuple of mutually distinct  $\mathbb{F}_q$ -rational points of  $\mathcal{Y}$ . And  $\varphi_E(E) \equiv \mathcal{Y} \cdot \mathcal{H}$  for all hyperplanes  $\mathcal{H}$  of  $\mathbb{P}^s$ , see [42, Theorems 7.33 and 7.40]. Moreover, if  $E$  is effective, then  $\varphi_E(E) = \mathcal{Y} \cdot \mathcal{H}$  for some hyperplane  $\mathcal{H}$  and if  $F = \varphi_E(E)$ , then  $(\mathcal{Y}, \mathcal{Q}, F)$  is also a representation of the code  $\mathcal{C}$  which is strict isomorphic to the original triple  $(\mathcal{X}, \mathcal{P}, E)$ .

In fact any hyperplane  $\mathcal{H}$  outside the points of  $\mathcal{Q}$  will do. But sometimes there is no such hyperplane. In order to meet with this problem, the construction of the AG code,  $C_L(\mathcal{X}, \mathcal{P}, E)$ , is generalized to the code  $C_L(\mathcal{X}, \mathbf{p}, E)$  in Section 2, where  $\mathbf{p}$  is an  $n$ -tuple of local parameters at the points of  $\mathcal{P}$ . Now it is allowed that the hyperplane  $\mathcal{H}$  and the divisor  $F$  have a nonempty intersection with  $\mathcal{Q}$ . The triple  $(\mathcal{Y}, \mathbf{q}, F)$  is called *isomorphic* with  $(\mathcal{X}, \mathbf{p}, E)$  if  $\varphi_E$  gives an isomorphism of curves from  $\mathcal{X}$  to  $\mathcal{Y}$ ,  $\varphi_E(E) \equiv F$  and  $p_j$  and  $\varphi^*(q_j)$  are local parameters at the same point for all  $j$ . If  $(\mathcal{Y}, \mathbf{q}, F)$  is isomorphic to  $(\mathcal{X}, \mathbf{p}, E)$ , then  $C_L(\mathcal{Y}, \mathbf{q}, F)$  is scalar equivalent with  $C_L(\mathcal{X}, \mathbf{p}, E)$ .

Let  $I(\mathcal{Y})$  be the homogeneous vanishing ideal of  $\mathcal{Y}$  in the polynomial ring  $\mathbb{F}_q[Y_0, Y_1, \dots, Y_s]$  with factor ring  $S = \mathbb{F}_q[Y_0, Y_1, \dots, Y_s]/I(\mathcal{Y})$ .

**Remark 19** *What is meant by: "to compute efficiently the triple  $(\mathcal{Y}, \mathbf{q}, F)$ "? Suppose we have as input the generator matrix  $G$  of the VSAG code  $\mathcal{C} = C_L(\mathcal{X}, \mathbf{p}, E)$ .*

*Then as output we ask for:*

1. *An  $l$ -tuple  $\mathcal{G} = (g_1, \dots, g_l)$  of polynomials in  $\mathbb{F}_q[Y_0, Y_1, \dots, Y_s]$  that generates  $I(\mathcal{Y})$ .*
2. *An  $n$ -tuple  $\mathbf{q}$  where  $q_j$  is a local parameter of  $Q_j$  for all  $j = 1, \dots, n$ .*
3. *The triple  $(\mathcal{X}, \mathbf{p}, E)$  is isomorphic to  $(\mathcal{Y}, \mathbf{q}, F)$ , where  $\mathcal{H}$  is a hyperplane of  $\mathbb{P}^s(\mathbb{F}_q)$ ,*
4. *A Gröbner basis  $\mathcal{F}$  of the vanishing ideal of  $F = \mathcal{Y} \cdot \mathcal{H}$ ,*
5. *A basis  $\mathcal{B}$  of the vector space  $\mathcal{L}(F)$ ,*
6. *The complexity of obtaining the quadruple  $(\mathcal{G}, \mathbf{q}, \mathcal{F}, \mathcal{B})$  is polynomial in  $n$ .*

*A stronger version of (1) is given by:*

*(1') A Gröbner basis  $\mathcal{G}$  of  $I(\mathcal{Y})$ ,*

*but we were not able to get a result for this stronger version.*

## 4.1 Generators of the ideal $I(\mathcal{Y})$

Note that [62, Corollary 1] states that the construction of  $I(\mathcal{Y})$  is reduced to the computation of a set of generators of  $I_2(\mathcal{Q})$  which can be performed in  $\mathcal{O}(n^2 \binom{s}{2})$  elementary operations. Recall that  $I_2(\mathcal{Q})$  is the ideal generated by the homogeneous elements of degree 2 in the vanishing ideal of  $\mathcal{Q}$ . In the following lines we present a constructive proof of how to compute the set of generators of the ideal  $I_2(\mathcal{Q})$ .

**Lemma 20** *Let  $\mathcal{Q}$  be an  $n$ -tuple of points in  $\mathbb{P}^s(\mathbb{F}_q)$  not in a hyperplane. An upper bound on the complexity of the computation of  $I_2(\mathcal{Q})$  is given by  $\mathcal{O}(n^4)$ .*

*Proof.* Let  $k = s + 1$  and  $G_{\mathcal{Q}}$  be the  $k \times n$  matrix associated to  $\mathcal{Q}$  and  $\mathcal{C}$  be the subspace of  $\mathbb{F}_q^n$  generated by the rows of  $G_{\mathcal{Q}}$ . We enumerate the rows of  $G_{\mathcal{Q}}$  by  $\{\mathbf{g}_1, \dots, \mathbf{g}_k\}$ . Let  $\mathcal{S}^2(\mathcal{C})$  be the second symmetric power of  $\mathcal{C}$ , i.e. the symmetric tensor product of  $\mathcal{C}$  by itself. If  $x_i = \mathbf{g}_i$ , then  $\mathcal{S}^2(\mathcal{C})$  has basis  $\{x_i x_j \mid 1 \leq i \leq j \leq n\}$  and dimension  $\binom{k+1}{2}$ . Now we consider the linear map  $\sigma : \mathcal{S}^2(\mathcal{C}) \rightarrow \mathcal{C}^{(2)}$  where  $x_i x_j$  is mapped to  $\mathbf{g}_i * \mathbf{g}_j$ . We denote the kernel of this map by  $K^2(\mathcal{C})$ . By [62, Proposition 15], a basis of  $K^2(\mathcal{C})$  gives directly a generating set of  $I_2(\mathcal{Q})$ . Recall that  $\mathcal{C}^{(2)}$  is generated by the elements  $\{\mathbf{g}_i * \mathbf{g}_j \mid 1 \leq i \leq j \leq k\}$ , which form a matrix  $M$  of size  $m \times n$ , where  $m = \binom{k+1}{2}$ . The vector space  $K^2(\mathcal{C})$  is equal to the right kernel of  $M^T$ .

Performing Gaussian elimination by rows on  $M^T$  gives a matrix  $N$  in row reduced row echelon form. If the pivots of  $N$  are all at the left hand side, then  $N$  is of the form  $(I_l|B)$  after deleting the zero rows. Then the right kernel of  $M^T$  is equal to the right kernel of  $(I_l|B)$  and is generated by the rows of  $(-B^T|I_{m-l})$ . A similar result holds if the pivots are not all at the start.

An upper bound on the complexity of bringing  $M^T$  in reduced row echelon form is given by  $\mathcal{O}(mn \min\{m, n\})$  which is at most  $\mathcal{O}(n^3)$  if  $m \leq n$  and  $\mathcal{O}(n^4)$  if  $m \geq n$ , since  $m = \mathcal{O}(n^2)$ .  $\blacksquare$

## 4.2 The $n$ -tuples $\mathcal{Q}$ and $\mathbf{q}$

Obtaining the  $n$ -tuple  $\mathcal{Q}$  is trivial, since  $\mathcal{Q} = (Q_1, \dots, Q_n)$  is the projective system associated to  $G$ . So  $Q_j$  is the point in  $\mathbb{P}^s(\mathbb{F}_q)$  which has as homogeneous coordinates the  $j$ -th column of  $G$ .

In order to construct a representation of the code  $\mathcal{C} = \mathcal{C}_L(\mathcal{Y}, \mathcal{Q}, F)$  in  $\mathbb{P}^s$ , we need to find a local parameter  $q_j$  at  $Q_j$  for all  $j$ . Let  $Q$  be a  $\mathbb{F}_q$ -rational point. Let  $\mathcal{L}$  be the tangent line of  $\mathcal{Y}$  at  $Q$ . Let  $h_1$  and  $h_2$  be homogeneous linear polynomials that define the hyperplanes  $\mathcal{H}_1$  and  $\mathcal{H}_2$  such that  $Q$  is in  $\mathcal{H}_1$ , but  $\mathcal{H}_1$  does not contain  $\mathcal{L}$  and  $Q$  is not in  $\mathcal{H}_2$ . Then  $h_1/h_2$  is a local parameter of  $\mathcal{Y}$  at  $Q$  as it is explained in Remark 2.

Let the vanishing ideal  $I(\mathcal{Y})$  in  $\mathbb{F}_q[Y_0, Y_1, \dots, Y_s]$  be generated by  $f_1, \dots, f_l$ . Then the tangent line  $\mathcal{L}$  of  $\mathcal{Y}$  at  $Q = (Q_0 : Q_1 : \dots : Q_s)$  is defined by the intersection of the hyperplanes with equations

$$\sum_{i=0}^s \frac{\partial f_j}{\partial Y_i}(Q)(Y_i - Q_i) = 0 \quad \text{for } j = 1, \dots, l.$$

After a coordinate change we may assume that  $Q = (1 : 0 : \cdots : 0)$  and that the tangent line  $\mathcal{L}$  is given by the equations  $Y_2 = 0, \dots, Y_s = 0$ . Therefore we can take  $h_1 = Y_1$  and  $h_2 = Y_0$ .

Let  $d$  be the maximal degree of the polynomials  $f_j$ . Then the complexity of the computation of the value of the partial derivatives  $\frac{\partial f_j}{\partial Y_i}(Q)$  is upper bounded by  $\mathcal{O}(ls \binom{s+d+1}{d})$ . The complexity defining the tangent line in normal form is given by  $\mathcal{O}(ls \min\{l, s\})$ , since it is obtained by Gaussian elimination of a linear system of  $l$  equations in  $s + 1$  variables.

In the particular situation of Section 4.1 we have a  $k \times n$  matrix as input. So  $s = k - 1$  and  $I(\mathcal{Y})$  is generated by  $l = \binom{k+1}{2}$  polynomials of degree  $d = 2$ . Therefore  $\mathcal{O}(nk^5)$  and  $\mathcal{O}(n^6)$  are bounds for the complexity of obtaining the local parameters of all the  $Q_j$  for  $j = 1, \dots, n$ , since it is dominated by the complexity of the computation of the partial derivatives.

### 4.3 Gröbner basis for $I(\mathcal{Y} \cdot \mathcal{H})$

Let  $\mathcal{H}$  be the hyperplane given by the linear equation  $g(Y) = 0$ . We claim that the vanishing ideal  $\mathcal{Y} \cap H$  is the sum ideal  $\langle I_2(\mathcal{Y}) \rangle + \langle g \rangle$ . Indeed, the vanishing ideal  $I(\mathcal{Y})$  is generated by polynomials of degree 2 and the result holds by [62, Corollary 1].

Note that the ideal  $I = \langle I_2(\mathcal{Y}) \rangle + \langle g \rangle$  is of projective dimension zero, that is  $\mathbb{F}_q[Y_0, Y_1, \dots, Y_s]/I$  is graded of Krull dimension one and thus the variety  $V(I)$  consists of a finite number of projective points. [60] has recently devised a procedure to compute the (projective) points of such type of variety. He associates an affine ring of dimension zero whose multiplication matrices coincide with the projective multiplication matrices of the projective ring.

The following is adapted from [60, Algorithm 5.6] to our special case:

1. First, compute the Gröbner basis elements of degree 1 and 2 of the ideal

$$I = \langle I_2(\mathcal{Y}) \rangle + \langle g(X) \rangle \subseteq \mathbb{K}[Y_1, \dots, Y_s].$$

Note that the maximal degree of the elements of the Gröbner basis of  $I$  is bounded by  $m$  that denotes the degree of the divisor  $F$  which we know in advance (see Proposition 18), since the degree of a function determines the maximum number of solutions that a function can have and  $F = \mathcal{Y} \cdot \mathcal{H}$ . This bound is sharp and is attained for one-point divisors using the lexicographic ordering (see for instance Example 23).

2. If  $q < m$ , where  $m$  is again the degree of the divisor  $F$ , then we must enlarge our field  $\mathbb{F}_q$  by a field extension  $\mathbb{F}_{q^e}$  such that this extension contains at least  $m$  elements. The complexity of finding an extension  $\mathbb{F}_{q^e}$  such that  $q^e \geq n \geq m$ , is polynomial in  $n$ . See [88, 89].
3. Choose a random change of coordinates

$$\begin{cases} \hat{Y}_0 := Y_0 + a_1 Y_1 + \cdots + a_s Y_s, \\ \hat{Y}_i := Y_i \text{ for all } i = 1, \dots, s. \end{cases}$$

such that  $\hat{Y}_0$  is non zero at all points in the variety  $V(I)$  over the extension field  $\mathbb{F}_{q^e}$ , in other words  $\hat{Y}_0$  is a non-zero divisor of  $F_q[Y_0, \dots, Y_s]/I$ .

Note that equivalently to stage **K3** of [60, Algorithm 5.6] if we could not find such a change of coordinates then we go back to **Step 1** and we compute Gröbner basis elements of degree  $d$  with  $d = 3, \dots, m$  following a sequential order until we get such a non-zero divisor. Note that for the right  $d$ , for almost all changes of coordinates  $\hat{Y}_0$  is a non-zero divisor. Moreover, [60, Proposition 3.2] gives a constructive proof which directly provides an algorithm for computing a nonzero divisor.

4. Apply the FGLM algorithm [24] to find the rational points of the affine variety with coordinates  $\hat{Y}_i/\hat{Y}_0$  for  $i = 1, \dots, s$ . We suggest to use the FGLM algorithm but any other method for finding roots of an affine variety is also suitable here.

Recall that there is a one-to-one correspondence between the rational points on affine varieties defined by a zero-dimensional ideal and common eigenvectors of the so-called multiplication matrices. This step provides multiplication matrices for the affine ring. If we add to this set the identity matrix then it coincides with the projective multiplication matrices for the projective ring. This step is equivalent to the stages **K4-K6** of [60, Algorithm 5.6].

5. Finally, for each projective point obtained (which is defined over the extension field  $\mathbb{F}_{q^e}$ ) apply the inverse coordinate transformation given on **Step 3** and check whether it belongs to the original defining field  $\mathbb{F}_q$ . This step is equivalent to the stage **K7** of [60, Algorithm 5.6].

The overall complexity of the procedure is dominated by steps (3) and (4). Thus, the main time of the algorithm is devoted to compute Gröbner basis

element of  $I$ . However, we will not suffer from explosive exponent growth since the maximal degree of elements in our Gröbner basis is bound by the degree of the divisor  $F$ .

[60] shows that the behavior of the proposed method is asymptotically better than the classical Buchberger-Möller algorithm, see [61]. The complexity of the proposed method is at most  $\mathcal{O}(\min(m, s)m^3)$ , where  $m$  is the degree of the divisor  $F$ , or equivalently the degree of the curve  $\mathcal{Y}$ , which is defined in the projective space  $\mathbb{P}^s$ , see [60] for a detailed complexity analysis.

#### 4.4 A basis of the vector space $\mathcal{L}(F)$

Let  $g(Y)$  be the linear polynomial that defines the chosen hyperplane  $\mathcal{H}$ . Then the quotients  $Y_i/g(Y)$  of the cosets of  $Y_i$  and  $g(Y)$  in  $\mathbb{F}_q[Y_0, Y_1, \dots, Y_s]/I$  form a basis of  $\mathcal{L}(F)$ . This step is immediate and does not contribute to the complexity of obtaining the quadruple  $(\mathcal{G}, \mathbf{q}, \mathcal{F}, \mathcal{B})$ .

#### 4.5 Overall complexity

Compiling the above results we can conclude the following theorem which determines the complexity of obtaining a representing triple of a VSAG code from its generator matrix.

**Theorem 21** *Let  $G$  be a  $k \times n$  generator matrix of a VSAG code  $\mathcal{C}$  defined over  $\mathbb{F}_q$  and  $Q_j$  be the point in  $\mathbb{P}^{k-1}$  which has as homogeneous coordinates the  $j$ -th column of  $G$  for  $j = 1, \dots, n$ . Then, a representing triple  $(\mathcal{Y}, \mathbf{q}, F)$  of the code  $\mathcal{C}$  or its dual,  $\mathcal{C}^\perp$ , can be retrieved efficiently with complexity  $\mathcal{O}(n^6)$ .*

*The triple  $(\mathcal{Y}, \mathbf{q}, F)$  is defined by  $\mathcal{Y}$  which is a projective curve in  $\mathbb{P}^{k-1}$  defined over  $\mathbb{F}_q$ , by the  $n$ -tuple  $\mathbf{q} = (q_1, \dots, q_n)$  such that  $q_j$  is a local parameter of  $Q_j$  for all  $j$ , and by the divisor  $F$  of the curve  $\mathcal{Y}$  of degree  $m$ .*

*Proof.* Let  $s = k - 1$ . Note that the construction of an  $l$ -tuple  $\mathcal{G} = (g_1, \dots, g_l)$  of polynomials in  $\mathbb{F}_q[Y_0, \dots, Y_s]$  that generates  $I(\mathcal{Y})$  can be performed in  $\mathcal{O}(n^4)$  elementary operations by Lemma 20. Moreover, Subsection 4.2 states that the complexity of obtaining the  $n$ -tuple  $\mathbf{q} = (q_1, \dots, q_n)$  where  $q_j$  is a local parameter of  $Q_j$  for all  $j = 1, \dots, n$  is at most  $\mathcal{O}(n^6)$ . Finally, a Gröbner basis of the vanishing ideal of the divisor  $F$  is at most  $\mathcal{O}(\min(m, s) \cdot m^3)$  by [60, Algorithm 5.6]. However, since  $\mathcal{C}$  is a VSAG code then  $m < \frac{1}{2}n$ . Thus,  $\mathcal{O}(\min(m, s) \cdot m^3)$  is bounded by  $\mathcal{O}\left(n \left(\frac{n}{2}\right)^3\right) \sim \mathcal{O}(n^4)$ .

Therefore, in the worst case the complexity of our method is polynomial on the length of the code since it is upper bounded by  $\mathcal{O}(n^6)$ . ■

As a final remark, note that we have assumed by duality that  $2g + 2 \leq m < \frac{1}{2}n$ . Therefore, we are able to retrieve a representation for  $\mathcal{C}$  or its dual.

The following example illustrates our method for retrieving a representation of a VSAG code  $\mathcal{C}$  with the only knowledge of a generator matrix of  $\mathcal{C}$ .

**Example 22** *Consider the curve  $\mathcal{X}$  of Example 14. The line  $\mathcal{L}$  with equation  $X_0 = 0$  intersects the curve  $\mathcal{X}$  in three points  $P_2$ ,  $P_4$  and  $P_6$ . Let us compute this set of projective points using the previous algorithm.*

*First note that the number of  $\mathbb{F}_2$ -rational points of our curve is 7 which is greater than the cardinality of the defining field. Therefore we need to enlarge the field to  $\mathbb{F}_8 = \mathbb{F}_2[\alpha]$ , where  $\alpha$  is a root of  $X^3 + X + 1$ . Actually we only need to enlarge the field to  $\mathbb{F}_4$  but let us assume that we do not know in advance the number of intersections points. Recall that, in the situation of the curve  $\mathcal{Y}$  that comes from a VSAG codes, if we know a generator matrix of the code, then we know its degree by Proposition 18.*

*Note that the change of coordinates  $T$  defined by:*

$$\begin{cases} \hat{X}_0 & := X_0 + \alpha X_1 + \alpha^2 X_2, \\ \hat{X}_i & := X_i \text{ for } i = 1, 2. \end{cases}$$

*verifies that  $T(\hat{X}_0)(P_j) \neq 0$  for all points  $P_j$  of  $\mathcal{X}$ . Now the set of points of  $\mathcal{X}$  becomes*

$$\begin{array}{ll} \hat{P}_1 & = (1 : 0 : 0) & \hat{P}_5 & = (1 + \alpha : 1 : 0) \\ \hat{P}_2 & = (\alpha^2 : 0 : 1) & \hat{P}_6 & = (\alpha + \alpha^2 : 1 : 1) \\ \hat{P}_3 & = (1 + \alpha^2 : 0 : 1) & \hat{P}_7 & = (1 + \alpha + \alpha^2 : 1 : 1) \\ \hat{P}_4 & = (\alpha : 1 : 0) & & \end{array}$$

*Now we can take the affine equation of the curve  $\mathcal{X}$  and the line  $\mathcal{L}$  with affine coordinates  $x_1 = \hat{X}_1/\hat{X}_0$  and  $x_2 = \hat{X}_2/\hat{X}_0$ :*

$$\begin{aligned} \mathcal{X} : x_1 x_2 (x_1 + x_2)(x_1 + 1) + x_1(x_1 + 1) + x_2^2(x_2 + 1) &= 0 \\ \text{and } \mathcal{L} : 1 + \alpha x_1 + \alpha^2 x_2 &= 0. \end{aligned}$$

*If we compute a Gröbner basis of the affine zero-dimensional ideal generated by the above polynomials, which could be solved by FGLM techniques we obtain:*

$$x_1^2(x_1 + \alpha^2 + 1)(x_1 + \alpha + 1) \quad \text{and} \quad x_2 + (\alpha^2 + 1)x_1 + (\alpha^2 + \alpha + 1)$$

This gives the intersection affine points and their multiplicities:  $2\hat{P}_2 + \hat{P}_4 + \hat{P}_6$ , where

$$\hat{P}_2 = (0, \alpha^2 + \alpha + 1), \quad \hat{P}_4 = (\alpha^2 + 1, 0) \quad \text{and} \quad \hat{P}_6 = (\alpha + 1, \alpha + 1).$$

Once we unmake the change of coordinates described in Equation 22 we rise to three projective points in  $\mathbb{P}^3(\mathbb{F}_2)$  which correspond to the original intersection points  $P_2$ ,  $P_4$  and  $P_6$ .

## 5 Examples

We consider in this section several examples. These are low-dimensional examples to illustrate the complete process of recovering the triple  $(\mathcal{Y}, \mathcal{Q}, F)$  from a generator matrix of a VSAG code.

**Example 23** Consider the Hermitian curve  $\mathcal{X}$  over  $\mathbb{F}_{16}$  with homogeneous equation

$$X_1^5 - X_0X_2^4 - X_0^4X_2 = 0.$$

The affine equation of  $\mathcal{X}$  is  $x_1^5 - x_2^4 - x_2 = 0$  with  $x_1 = X_1/X_0$  and  $x_2 = X_2/X_0$ . This curve has genus  $g = 6$ . Moreover,  $\mathcal{X}$  has  $Q = (0 : 1 : 0)$  as the only point at infinity and other 64 distinct  $\mathbb{F}_{16}$ -rational points. Let  $P_1, \dots, P_{64}$  be an enumeration of all the  $\mathbb{F}_{16}$ -rational points of  $\mathcal{X}$  except the point at infinity  $Q$ .

If we consider a divisor of the form  $E = mQ$ , then the algebraic code  $\mathcal{C}$  defined by the triple  $(\mathcal{X}, \mathcal{P}, E)$  where  $\mathcal{P} = \{P_1, \dots, P_{64}\}$ , has length  $n = 64$  and dimension  $k = m - g + 1$ . Let  $f_{i,j} = x_1^i x_2^j$ . Then a basis for the Riemann-Roch space  $\mathcal{L}(E)$  is

$$\{x_1^i x_2^j \mid 0 \leq i \leq 4 \text{ and } 4i + 5j \leq m\}.$$

Table 5 gives the basis of functions and their corresponding pole orders also called weights:

For  $m = 14 = 2g + 2$  we have  $k = l(E) = 9$ . A basis for  $\mathcal{L}(E)$  is

$$\mathcal{B} = \left\{ \begin{array}{l} f_0 = 1, \quad f_1 = x_1, \quad f_2 = x_2, \quad f_3 = x_1^2, \quad f_4 = x_1x_2, \\ f_5 = x_2^2, \quad f_6 = x_1^3, \quad f_7 = x_1^2x_2, \quad f_8 = x_1x_2^2 \end{array} \right\}$$

$x_2^5$				
$x_2^4$	$x_1x_2^4$			
$x_2^3$	$x_1x_2^3$	$x_1^2x_2^3$		
$x_2^2$	$x_1x_2^2$	$x_1^2x_2^2$	$x_1^3x_2^2$	$x_1^4x_2^2$
$x_2$	$x_1x_2$	$x_1^2x_2$	$x_1^3x_2$	$x_1^4x_2$
1	$x_1$	$x_1^2$	$x_1^3$	$x_1^4$

25				
20	24			
15	19	23		
10	14	18	22	26
5	9	13	17	21
0	4	8	12	16

Table 5: Basis of functions of  $\mathcal{L}(E)$  and their corresponding weights.

A generator matrix  $G$  of  $\mathcal{C}$  is by definition the matrix obtained by evaluating the functions  $f_i \in \mathcal{B}$  for  $i = 1, \dots, 9$  at  $\mathcal{P} = \{P_1, \dots, P_{64}\}$ , that is a generator matrix for  $\mathcal{C}$  is given by

$$G = \begin{pmatrix} f_0(P_1) & \dots & f_0(P_{64}) \\ \vdots & \ddots & \vdots \\ f_8(P_1) & \dots & f_8(P_{64}) \end{pmatrix} \in \mathbb{F}_{16}^{9 \times 63}.$$

The only information available to the attacker is the matrix  $G$ . Then:

- Take the columns of  $G$  as homogeneous coordinates of projective points in  $\mathbb{P}^8(\mathbb{F}_{16})$ . We obtain the projective system  $\mathcal{Q} = (Q_1, \dots, Q_{64})$  where  $Q_j$  is given by  $(f_0(P_j) : \dots : f_8(P_j))$ .
- Define the curve  $\mathcal{Y}$  as the set of solutions of the vanishing ideal generated by the elements of  $K_2(\mathcal{C})$ . Since  $\frac{1}{2}n \geq m \geq 2g + 2$  [62, Proposition 15] states that a generator set of  $I(\mathcal{Y})$  is generated by the following set of quadrics in  $\mathbb{F}_{16}[Y_0, Y_1, \dots, Y_8]$ :

- |                              |                              |                              |
|------------------------------|------------------------------|------------------------------|
| 1. $Y_0Y_2 + Y_6Y_3 + Y_5^2$ | 2. $Y_0Y_3 + Y_1^2$          | 3. $Y_8Y_5 + Y_0Y_4 + Y_6^2$ |
| 4. $Y_0Y_5 + Y_2^2$          | 5. $Y_0Y_6 + Y_3Y_1$         | 6. $Y_0Y_7 + Y_3Y_2$         |
| 7. $Y_8Y_0 + Y_4Y_2$         | 8. $Y_8Y_5 + Y_6^2 + Y_2Y_1$ | 9. $Y_4Y_1 + Y_3Y_2$         |
| 10. $Y_5Y_1 + Y_4Y_2$        | 11. $Y_6Y_1 + Y_3^2$         | 12. $Y_7Y_1 + Y_4Y_3$        |
| 13. $Y_8Y_1 + Y_4^2$         | 14. $Y_6Y_2 + Y_4Y_3$        | 15. $Y_7Y_2 + Y_4^2$         |
| 16. $Y_8Y_2 + Y_5Y_4$        | 17. $Y_5Y_3 + Y_4^2$         | 18. $Y_7Y_3 + Y_6Y_4$        |
| 19. $Y_8Y_3 + Y_6Y_5$        | 20. $Y_7Y_4 + Y_6Y_5$        | 21. $Y_8Y_4 + Y_7Y_5$        |
| 22. $Y_8Y_6 + Y_7^2$         |                              |                              |

- Since the first row of  $G$  is the all-ones vector, the hyperplane at infinity  $Y_0 = 0$  is an hyperplane of  $\mathbb{P}^8(\mathbb{F}_{16})$  that is disjoint from the set  $\mathcal{Q}$ . A

Gröbner basis of the ideal  $I = \langle I_2(\mathcal{Y}) \rangle + \langle Y_0 \rangle$  gives us the points and their multiplicities that constitute the divisor  $F$ . For this purpose, we will use the adaptation of Lundqvist's Algorithm presented in Section 4.3.

1. We make the following change of variables:

$$\hat{Y}_8 = Y_8 + Y_4 + Y_0 \quad \text{and} \quad \hat{Y}_i = Y_i \text{ for } i = 0, \dots, 7,$$

such that  $T(Y_i)(P) \neq 0$  for all points  $P \in V(I)$ .

2. We compute a Gröbner basis  $\mathcal{G}$  of the affine zero-dimensional ideal generated by the affine equations of  $I_2(\mathcal{Y})$  with affine coordinates  $y_i = \frac{\hat{Y}_i}{\hat{Y}_8}$  for  $i = 1, \dots, 7$  and the hyperplane  $Y_0 = 0$  with respect to the lexicographical order induced by the following ordering on the variables  $y_0 > y_1 > y_2 > y_3 > y_4 > y_5 > y_6 > y_7$  is

$$\left\{ \begin{array}{l} y_0, y_1 + y_7^{10}, y_2 + y_7^9, y_3 + y_7^{11} + y_7^6, \\ y_4 + y_7^5, y_5 + y_7^9 + y_7^4, y_6 + y_7^{12} + y_7^7 + y_7^2, y_7^{14} \end{array} \right\}$$

Therefore the affine solution is  $(0, 0, 0, 0, 0, 0, 0, 0)$  with multiplicity 14, whether the corresponding projective point is  $P = (0 : 0 : 0 : 0 : 0 : 0 : 0 : 1)$ .

Thus the search divisor is  $F = 14P \in \mathbb{P}^8(\mathbb{F}_{16})$ .

By Theorem [62, Proposition 7],  $(\mathcal{Y}, \mathcal{Q}, F)$  is a representation of  $\mathcal{C}$  that is strict isomorphic to  $(\mathcal{X}, \mathcal{P}, E)$ .

**Example 24** Consider again the Hermitian curve of Example 23 but now we take a multipoint divisor  $E = mP_\infty - aP_{00}$ , where  $P_\infty = (0 : 1 : 0)$  is the point at infinity and  $P_{00} = (1 : 0 : 0)$ .

By [19, Lema 6.2] we obtain a basis for  $\mathcal{L}(E)$  by excluding the monomials that have zeros at  $P_{00}$  of multiplicity less than  $a$ . In other words, a basis for the space  $\mathcal{L}(D)$  where  $D = d(4 + 1)P_\infty - aP_\infty - bP_{00}$  for  $d \in \mathbb{Z}$ ,  $0 \leq a$  and  $b \leq 4$ , is given by the monomials:

$$x_1^i x_2^j \text{ such that } \begin{cases} 0 \leq i \leq 4, & 0 \leq j \text{ and } i + j \leq d \\ a \leq i \text{ for } i + j = d \\ b \leq i \text{ for } j = 0 \end{cases}$$

In particular, for  $E = 15P_\infty - P_{00}$  we have the following basis for its Riemman-Roch space:

$$\mathcal{B} = \left\{ \begin{array}{l} f_0 = x_1, \quad f_1 = x_1^2, \quad f_2 = x_1^3, \quad f_3 = x_2, \quad f_4 = x_1x_2, \\ f_5 = x_1^2x_2, \quad f_6 = x_2^2, \quad f_7 = x_1x_2^2, \quad f_8 = x_2^3 \end{array} \right\}$$

We consider the algebraic code  $\mathcal{C}$  defined by the triple  $(\mathcal{X}, \mathcal{P}, E)$  where  $\mathcal{P}$  is the set of 63 rational points of  $\mathcal{X}$  that do not belong to the support of  $E$ . This code has length  $n = 63$  and dimension  $k = 9$ .

Let  $P_1, \dots, P_{63}$  be an enumeration of all the set of points of  $\mathcal{P}$ . A generator matrix  $G$  of  $\mathcal{C}$  is the matrix given by

$$G = \begin{pmatrix} f_0(P_1) & \dots & f_0(P_{63}) \\ \vdots & \ddots & \vdots \\ f_8(P_1) & \dots & f_8(P_{63}) \end{pmatrix} \in \mathbb{F}_{16}^{9 \times 63}.$$

Observe that all the coordinates of the second row of  $G$  are nonzero. Therefore we replace the matrix  $G$  by the matrix  $\hat{G} = \lambda * G$  where  $\lambda = (1/g_{21}, \dots, 1/g_{2n})$  and  $g_{ij}$  denotes the entry of  $G$  in the  $i$ th row and the  $j$ th column. Thus the second row of the new matrix consist of ones.

In this case the attacker:

- Take the columns of  $\hat{G}$  as the projective system  $\mathcal{Q} = (Q_1, \dots, Q_{63})$ .
- Define the curve  $\mathcal{Y}$  whose vanishing ideal is generated by the following set of quadrics in  $\mathbb{F}_{16}[Y_0, Y_1, \dots, Y_8]$ .

1. $Y_7Y_4 + Y_5^2 + Y_1Y_0$	2. $Y_3Y_0 + Y_2Y_1$	3. $Y_4Y_0 + Y_3Y_1$
4. $Y_5Y_0 + Y_2^2$	5. $Y_6Y_0 + Y_3Y_2$	6. $Y_7Y_0 + Y_3^2$
7. $Y_8Y_0 + Y_4Y_3$	8. $Y_8Y_4 + Y_6Y_5 + Y_1^2$	9. $Y_5Y_1 + Y_3Y_2$
10. $Y_6Y_1 + Y_3^2$	11. $Y_7Y_1 + Y_4Y_3$	12. $Y_8Y_1 + Y_4^2$
13. $Y_4Y_2 + Y_3^2$	14. $Y_6Y_2 + Y_5Y_3$	15. $Y_7Y_2 + Y_5Y_4$
16. $Y_8Y_2 + Y_6Y_4$	17. $Y_6Y_3 + Y_5Y_4$	18. $Y_7Y_3 + Y_6Y_4$
19. $Y_8Y_3 + Y_7Y_4$	20. $Y_7Y_5 + Y_6^2$	21. $Y_8Y_5 + Y_7Y_6$
22. $Y_8Y_6 + Y_7^2$		

This set of quadrics coincides with the right kernel of a generator matrix of the square code  $\mathcal{C}^{(2)}$ .

- A Gröbner basis of the ideal  $\langle I_2(\mathcal{Y}) \rangle + \langle Y_1 \rangle$  gives us the points and their multiplicities that constitute the divisor  $F$ . Similar to the previous example, for this purpose:

1. We make the following change of variables:

$$\hat{Y}_1 = Y_1 + Y_0 + Y_8 \quad \text{and} \quad \hat{Y}_i = Y_i \text{ for } i = 0, 2, \dots, 8$$

such that  $T(\hat{Y}_1)(P) \neq 0$  for all points in the vanishing ideal  $I(\mathcal{Y}) + \langle Y_1 \rangle$ .

2. We compute a Gröbner basis  $\mathcal{G}$  of the affine zero-dimensional ideal generated by the affine equations of  $I_2(\mathcal{Y})$  with affine coordinates  $y_i = \frac{\hat{Y}_i}{\hat{Y}_1}$  and the hyperplane  $Y_1 = 0$ , relative to the lexicographical ordering induced by the following ordering on the variables:  $y_0 > y_8 > y_6 > y_5 > y_4 > y_3 > y_2 > y_7$ .

$$\mathcal{G} = \left\{ \begin{array}{l} y_0 + y_8 + 1, y_8^2 + y_8, y_8 y_2 + y_7^7, y_8 y_7 + y_7, y_6 + y_7^2, \\ y_5 + y_2^2 + y_7^3, y_4 + y_7^5, y_3 + y_7^6, y_2^4, y_2 y_7 + y_7^8, y_7^{10} \end{array} \right\}$$

Thus we have two affine solutions which gives the two associated projective points:  $P_1 = (1 : 0 : 0 : 0 : 0 : 0 : 0 : 0 : 0 : 0)$  with multiplicity 4 and  $P_2 = (0 : 0 : 0 : 0 : 0 : 0 : 0 : 0 : 0 : 1)$  with multiplicity 10.

Therefore the search divisor is  $F = 4P_1 + 10P_2$ .

By Theorem [62, Proposition 7],  $(\mathcal{Y}, \mathcal{Q}, F)$  is a representation of  $\mathcal{C}$  that is strict isomorphic to  $(\mathcal{X}, \mathcal{P}, E)$ .

## 6 Some remarks on decoding

Once we have recovered the triple  $(\mathcal{Y}, \mathcal{Q}, F)$ , the last computation for recovering the message in a McEliece PKC consists in applying a decoding algorithm for the resulting AG-code. Note that proposing a novel decoding algorithm is not the purpose of this paper but to give the complete description of the code and to indicate some decoding algorithms that can be used in practice, without considering their feasibility, that is we do not claim in this work that an efficient decoding is always possible.

Note that previous steps in this paper can be seen as a pre-computation from the point of view of decoding, and therefore also for the recovering of the message. We remark that one should consider decoding algorithms for (possible) multipoint evaluation AG-codes defined from a non-plane curve. Taking into account the previous remarks we propose to use [7, 46, 55].

The algorithm in [55] works for general AG codes. In order to apply this algorithm one should first compute the Miura-Pellikaan or standard form of the curve [31, 72]. Such a representation of the curve relies on a Gröbner basis computation involving the ideal of the curve and the basis of the Riemann-Roch space by [95, Theorem 4.1]. Once we have precomputed such a form, that can become a bottleneck since a Gröbner basis computation is involved, the remaining steps are very fast, the decoding complexity is  $\mathcal{O}((n+4g)(n+2g)g)$ .

Another algorithm for decoding general AG codes is given in [7, 46]. This algorithm is based on a syndrome formulation of the basic algorithm and an interpolation step followed by a majority voting scheme. The authors of [7] extend this algorithm for performing list-decoding, this algorithm is equivalent to the well-known Guruswami-Sudan algorithm [35] but it solves a smaller system of equations and hence it is faster than the original Guruswami-Sudan algorithm. The precise size of the system of equations can be found in [7, Section 2.7].

Note that both procedures decode up to half of some generalized order bounds. One might defend the message by introducing a number of errors, where this number is between one half these bounds and the error correcting capability of the code. In this case it is clear from the coding theory point of view that a list-decoding algorithm will provide a list with a single element for those type of errors. For instance, one may consider the list-decoding algorithm in [7].

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