

# Some slides for 20th Lecture, Algebra 1

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24-11-2011

# Knapsack cryptosystem (Merkle-Hellman)

A knapsack problem:

- Consider a knapsack (or rucksack) with volume  $N$
- Consider  $n$  objects with volume  $e_1, \dots, e_n$
- Maybe we cannot put everything in the knapsack, but we want to fill it. That is, we want to find  $I \subset \{1, \dots, n\}$  such that

$$\sum_{i \in I} e_i = N$$

## Our knapsack problem

Given  $e_1, \dots, e_n \in \mathbb{N}$  and  $N \in \mathbb{N}$ , find a binary number  $k$  with  $n$  bits  $k = (\lambda_1, \dots, \lambda_n)$  ( $\lambda_i = 0$  means object  $e_i$  is not in the knapsack) such that:

$$\sum_{i=1}^n \lambda_i e_i = N$$

Our knapsack problem is NP-Complete, but there is an easy case:

$$e_i > \sum_{j=1}^{i-1} e_j, \quad \forall i$$

Example:  $(e_1, \dots, e_5) = (2, 3, 7, 15, 31)$  and  $N = 24$ .

$$24 - 15 = 9 \rightarrow e_4$$

$$9 - 7 = 2 \rightarrow e_3$$

$$2 - 2 = 0 \rightarrow e_1$$

Hence  $N = 2 + 7 + 15$  and  $k = (1, 0, 1, 1, 0) = 24$ .

Message: is binary (0's and 1's). We cut it in blocks of length  $n$ . Consider that we send  $M$ , a block of length  $n$ .

- 1 Bob chooses an easy knapsack  $(e_1, \dots, e_n)$  and  $N \in \mathbb{N}$  such that  $N > \sum_{i=1}^n e_i$  (why?  $\rightarrow$  unique encryption). He also chooses  $w \in \mathbb{N}$  such that  $0 < w < N$  and  $\gcd(w, N) = 1$  (why?)
- 2 Bob computes  $[w^{-1}]_N$  ( $[w][w^{-1}] = [1]$ ) and  $(a_1, \dots, a_n)$ , with  $0 < a_i < N$  where

$$a_i \equiv we_i \pmod{N}$$

Secret Key:  $(e_1, \dots, e_n), N, w, w^{-1}$

Public Key:  $(a_1, \dots, a_n)$

- 3 Alice wants to send  $M = (M_1, \dots, M_n) \in (\mathbb{Z}/2\mathbb{Z})^n$ . She computes

$$C = \sum_{i=1}^n M_i a_i$$

and sends it to Bob

- 4 Bob gets  $C$ . He computes  $[Cw^{-1}]_N$  because

$$w^{-1}C \equiv \sum_{i=1}^n w^{-1}a_iM_i \equiv \sum_{i=1}^n e_iM_i \pmod{N}$$

We have  $[Cw^{-1}]_N = [\sum M_i e_i]_N$ . Note that  $\sum M_i e_i \leq \sum e_i < N$ , then  $0 < \sum M_i e_i < N$  and encryption is unique.

- 5 Bob uses the easy knapsack to find  $(M_1, \dots, M_n)$  from  $\sum M_i e_i$ .
- 6 Eve?, she gets  $C = \sum_{i=1}^n M_i a_i$ , but it is not an easy knapsack.

# Example knapsack

- $M = (1, 1, 0, 0, 1)$
- $N = 61, w = 17, \gcd(17, 61) = 1$
- $w^{-1} \equiv 18 \pmod{61}$
- $a_1 = 17 \cdot 2 \equiv 34 \pmod{61}$   
 $a_2 = 17 \cdot 3 \equiv 51 \pmod{61}$   
 $a_3 = 17 \cdot 7 \equiv 58 \pmod{61}$   
 $a_4 = 17 \cdot 15 \equiv 11 \pmod{61}$   
 $a_5 = 17 \cdot 31 \equiv 39 \pmod{61}$
- Public Key =  $(34, 51, 58, 11, 39)$ , so to encrypt  $(1, 1, 0, 0, 1)$  we have  $34 + 51 + 39 = 124$ . Alice sends 124.
- Bob receives 124 and computes  $124 \cdot 18 \equiv 36 \pmod{61}$ . Then he has an easy knapsack for 36:  
 $36 - 31 = 5 \rightarrow e_5$   
 $5 - 3 = 2 \rightarrow e_2$   
 $2 - 2 = 0 \rightarrow e_1$ , and recovers  $M = (1, 1, 0, 0, 1)$
- Eve could do:  $124 = a_1 + a_2 + a_5 = 34 + 51 + 39$  but this is a difficult knapsack (for large numbers!)