

Second International Conference on Valuation Theory

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**On  $\mathbb{R}$ -places and related topics**

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# Part I

## Background in Real Algebra

Artin-Schreier paper in Crelle Journal (1927) defines real fields and real-closed fields. Is a basis for Real Algebra and Real Algebraic Geometry.

Almost no change after ; see *Moderne Algebra* by Van der Waerden (1930), *Lectures in Abstract Algebra* by N. Jacobson (1964), and *Algebra* by S. Lang (1965). But the notion of cone associated to an order came later : J.P. Serre (1949).

This 1927 paper allowed Artin to solve Hilbert's 17th problem again in Crelle 1927. This result was reproved by S.Lang in 1953 using valuations and real places.

$K$  commutative field s.t.  $-1 \notin \sum K^2$

$\Leftrightarrow$  exists total ordering compatible with field structure

From order  $\leq_P$  to **positive cone**  $P \subset K$  :

$a \leq b \Leftrightarrow b - a \in P$  ; then  $P$  satisfies

$P + P \subset P, P \cdot P \subset P, P \cup -P = K, -1 \notin P$

Implying :  $0, 1 \in P, P \cap -P = \{0\}, \sum K^2 \subset P$

Any real field must have  $\text{char} K = 0$

Examples :  $\mathbb{Q}(\sqrt{2}), \mathbb{R}((X)), \mathbb{R}(X) \dots$

**Nice theorem** :  $\sum K^2 = \bigcap P_i, P_i$  orderings of  $K$

**Krull valuation** :  $v : K^* \rightarrow \Gamma$  ,  $\Gamma$  a totally ordered abelian group (*the value group*) such that

$$(1) v(xy) = v(x) + v(y) \text{ for any } x, y \text{ in } K^*$$

$$(2) v(x + y) \geq \min \{v(x), v(y)\} , \text{ for any } x, y \text{ in } K^* , \\ \text{with } x + y \text{ in } K^*$$

$$\text{Valuation ring} : A = \{a \in K \mid a = 0 \text{ or } v(a) \geq 0\}$$

$$\text{Maximal ideal} : I = \{a \in K \mid a = 0 \text{ or } v(a) > 0\}$$

$$\text{Residue field} : k = A/I ; \text{Group of units} : A^* = A \setminus I$$

**A valuation  $v$  is real** if and only if the residue field  $k$  is formally real ( $-1 \notin \sum k^2$ ). And a field  $K$  admits real valuations if and only if it is a formally real field ( $-1 \notin \sum K^2$ )

Given  $K$  a formally real field,

$P$  an ordering of  $K$ ,  $v$  a valuation

**$v$  is compatible with  $P$**

$$\Leftrightarrow 1 + I_v \subset P$$

$$\Leftrightarrow A_v \text{ is convex with respect to } P$$

$$\Leftrightarrow I_v \text{ is convex with respect to } P$$

$$\Leftrightarrow 0 <_P a <_P b \Rightarrow v(a) \geq v(b) \text{ (in value group } \Gamma).$$

The trivial valuation is compatible with any  $P$

$K$  a formally real field,  $P$  an ordering, and  $v$  a valuation compatible with  $P$ , then  $\bar{P}$ , induced by  $P$  in the residue field  $k_v$ , is an ordering of  $k_v$ .

**Important example is :**

$$A(P) = \{x \in K \mid \exists r \in \mathbb{Q}, -r \leq_P x \leq_P r\}$$

$A(P)$  is a valuation ring, with maximal ideal

$$I(P) = \{a \in K \mid \forall r \in \mathbb{Q}^*, -r \leq_P a \leq_P r\}$$

$v$  valuation associated to  $A(P)$  is compatible with  $P$ .

$\bar{P}$  induced by  $P$  in the residue field  $k_v = A(P)/I(P)$ , is an **archimedean** ordering of  $k_v$ .

The valuation rings compatible with a given  $P$  form a chain under inclusion with **smallest element**  $A(P)$ .



## Part II

The space of  $\mathbb{R}$ -places

**Places** on a field  $K$  :

$$\varphi : K \longrightarrow F \cup \{\infty\}$$

where  $F$  is a field, sum and product are extended to  $F \cup \{\infty\}$  by  $x + \infty = \infty$  for  $x \in F$ , and  $x\infty = \infty$  for  $x \in F^* \cup \{\infty\}$

$\varphi$  is such that  $\varphi(1) = 1$ , and satisfies homomorphism rules  $\varphi(x + y) = \varphi(x) + \varphi(y)$  and  $\varphi(xy) = \varphi(x)\varphi(y)$  whenever right side terms are defined.

**Real places** : same with  $F$  formally real (or real-closed).

**$\mathbb{R}$ -places** : same with  $F = \mathbb{R}$ .

$P$  ordering of  $K$  a formally real field,  $v$  valuation associated to  $A(P) = \{a \in K \mid \exists r \in \mathbb{Q}, -r \leq_P a \leq_P r\}$  and  $I(P) = \{a \in K \mid \forall r \in \mathbb{Q}^*, -r \leq_P a \leq_P r\}$  the maximal ideal of  $A(P)$ .

$P$  induces over the residue field  $k_v = A(P)/I(P)$  an archimedean order  $\bar{P}$ ; hence  $(k_v, \bar{P})$  can be embedded uniquely in  $(\mathbb{R}, \mathbb{R}^2)$

**The  $\mathbb{R}$ -place associated to  $P$**  is  $\lambda_P : K \rightarrow \mathbb{R} \cup \{\infty\}$

defined by the following commutative diagram :

$$K \xrightarrow{\lambda_P} \mathbb{R} \cup \{\infty\}$$

$$\pi \searrow \nearrow i$$

$$k_v \cup \{\infty\}$$

Let  $M(K)$  denote the **space of  $\mathbb{R}$ -places** of  $K$  then  $M(K) = \{ \lambda_P \mid P \in \chi_K \}$  because any  $\mathbb{R}$ -place can be defined as before using an ordering  $P$  of  $K$ .

The space  $M(K)$  is given the coarsest **topology** such that the evaluation applications defined  $\forall a \in K$  below are continuous :

$$e_a : M(K) \longrightarrow \mathbb{R} \cup \{\infty\}$$

$$\lambda_P \mapsto \lambda_P(a)$$

Explicitely :  $\lambda_P(a) = \infty$  if  $a \notin A(P)$

and if  $a \in A(P)$ ,  $\lambda_P(a) = \inf\{r \in \mathbb{Q} \mid a \leq_P r\}$

$$= \sup\{r \in \mathbb{Q} \mid r \leq_P a\}$$

The **space of orderings** of a formally real field  $K$  is usually denoted by  $\chi_K$ .

It is equipped with the Harrison topology generated by the open-closed sets :

$$H(a) = \{P \in \chi_K \mid a \in P\}.$$

$\chi_K$  is a compact, Hausdorff and totally disconnected space hence a Boolean space.

Craven has shown that every boolean space is isomorphic to the space of orderings  $\chi_K$  of some field  $K$ .

The following map  $\Lambda$

$$\Lambda : \chi_K \longrightarrow M(K)$$

$$P \mapsto \lambda_P$$

**is continuous, closed and surjective:**

$M(K)$  is a compact Hausdorff space.

This topology on the space  $M(K)$  of  $\mathbb{R}$  – *places* is also the **quotient topology** inherited from that of  $\chi_K$  the space of orderings equipped with the usual Harrison topology.

**Theorem (Becker) :**

*the following are equivalent :*

*(1)  $\Lambda$  is a bijection ;*

*(2)  $\forall a \in K \quad a^2 \in \sum K^4$  ;*

*(3) every real valuation of  $K$  has a 2-divisible value group ;*

*(4)  $K$  does not admit any ordering of exact level 2 .*

*Theorem : The following are equivalent :*

(1)  $\lambda_P = \lambda_Q$

(2)  $P \cap Q$  is a valuation fan.

(3)  $P$  and  $Q$  belong to a 2-primary chain of orderings (2-power levels).

*Definition (Harman) : a 2-primary chain of orderings is  $(P_n) = (P_0, P_1, \dots, P_n, \dots)$ ,  $P_0$  being a usual order,  $P_n$  an ordering of level  $2^{n-1}$  such that*

$$P_n \cup -P_n = (P_0 \cap P_{n-1}) \cup -(P_0 \cap P_{n-1})$$

All the  $P_n$  induce the same archimedean order  $\overline{P_n}$  on the residue field  $k_v$  of the valuation  $v$  associated to the valuation ring  $A(P_0) = \{a \in K \mid \exists r \in \mathbb{Q} \ -r \leq_{P_0} a \leq_{P_0} r\}$



# Part III

## Valuation fans

$K$  a real field,  $T \subset K$  is a **preordering** iff

$$T + T \subset T, T.T \subset T$$

$$0, 1 \in T \text{ and } -1 \notin T$$

$T^* = T \setminus \{0\}$  is a subgroup of  $K^*$

$T$  is a **quadratic** preordering  $\Leftrightarrow K^2 \subset T$

$T$  is a **level**  $n$  preordering  $\Leftrightarrow K^{2n} \subset T$

$T$  is possibly without level

$T$  is **compatible with a valuation**  $v \Leftrightarrow 1 + I_v \subset T$

A preordering  $T$  is a **fan**

$$\iff \forall a \notin -T \text{ holds } T + aT \subset T \cup aT$$

These preorderings are well behaved for compatibility :  
Given  $v$  a valuation of a real field  $K$ , and  $T$  a preordering compatible with  $v$  :  $T$  is a fan in  $K \iff \bar{T}$  is a fan in  $k_v$ .

**Trivialization theorem** :  $T$  a fan in  $K$  then there exists a valuation  $v$  compatible with  $T$  inducing in the residue field  $k_v$  a trivial fan  $\bar{T}$  (meaning an ordering  $P$ , or the intersection of two orderings  $P_1 \cap P_2$ )

Quadratic case proved by Bröcker

Torsion preordering case proved by Becker

**A valuation fan** is a preordering  $T$  such that there exists a real valuation  $v$ , compatible with  $T$ , inducing an ordering on the residue field  $k_v$ .

One can even ask  $T$  induces an archimedean ordering using  $A(T) = \{x \in K \mid \exists r \in \mathbb{Q} \ r \pm x \in T\}$

- usual orderings (level 1) ;
- higher level orderings (level  $n$ , Becker 1978) ;
- $T = (A(P)^* \cap P) \cup \{0\}$  (no level).

Original and **alternative definition for valuation fan** (Bill Jacob, 1981) is :  $T$  preordering such that

$$\forall x \notin \pm T \text{ holds } 1 \pm x \in T \text{ or } 1 \pm x^{-1} \in T$$

$K$  a formally real field,  $P \subset K$  is an **ordering of exact level  $n$**  iff :

$\sum K^{2n} \subset P, P + P \subset P, P \cdot P \subset P$  (hence  $P^*$  is a subgroup of  $K^*$ )

and we have  $K^*/P^* \simeq \mathbb{Z}/2n\mathbb{Z}$ .

Level 1 orderings are the total usual orders.

**Theorem (Becker)** :  $\sum K^{2n} = \bigcap_{\text{level of } P \text{ divides } n} P$

**Theorem (Becker)** : *Let  $p$  be a prime,*

$\sum K^2 \neq \sum K^{2p} \iff K$  admits orderings of level  $p$ .

**Example :**  $K = \mathbb{R}((X))$

The two usual orders are :

$$P_+ = K^2 \cup XK^2 \text{ and } P_- = K^2 \cup -XK^2$$

For any prime  $p$  there exist two orderings of level  $p$  :

$$P_{p,+} = K^{2p} \cup X^p K^{2p} \text{ and } P_{p,-} = K^{2p} \cup -X^p K^{2p}$$

**A signature of level  $n$**  is a morphism of abelian groups

$$\sigma : K^* \rightarrow \mu_{2n}$$

such that the kernel is additively closed.

Then  $P = \ker \sigma \cup \{0\}$  is an ordering of higher level, and its level divides  $n$ .

**A generalized signature** (N. Schwartz 1990) *is a morphism of abelian groups*

$$\sigma : K^* \rightarrow G$$

*such that the kernel is a valuation fan*

**Important level 1 valuation fans** are the

$$T = \cap P_i$$

where the  $P_i$  are obtained from the  $\mathbb{R}$ -*place*  $\lambda$  by

$$\Lambda^{-1}(\lambda) = \{P_i \mid \lambda_{P_i} = \lambda\}$$

$\mathbb{Q}(2^{\frac{1}{2}})$  and  $\mathbb{R}((X))$  have isomorphic spaces of orderings, but the first one has two  $\mathbb{R}$ -*places* and no ordering of level 2 and the second one has only one  $\mathbb{R}$ -*place* but has one 2-primary chain of higher level orderings.



## The Baer-Krull Theorem :

*Let  $K$  be a formally real field,  $v$  be a real valuation of  $K$ , and  $\bar{P}$  be an ordering in the residue field  $k_v$ .*

*Denote by  $\chi_{v, \bar{P}}$  the set of all orderings  $P_i$  of  $K$  inducing the given  $\bar{P}$  in  $k_v$ .*

*There is a bijection between  $\chi_{v, \bar{P}}$  and  $\text{Hom}(\Gamma_v, \mathbb{Z}/2)$ , where  $\Gamma_v$  denotes the value group of  $v$ .*

*As a consequence of the Baer-Krull theorem, if  $\Gamma_v/2\Gamma_v$  has, as vector space over  $\mathbb{Z}/2$ , a basis of  $n$  classes, then  $\chi_{v, \bar{P}}$  has  $2^n$  elements  $P_i$ .*

*Hence the lifting of  $\bar{P}$  to  $K$  is unique if and only if  $\Gamma_v$  is 2-divisible.*

## Part IV

### The real holomorphy ring

**The real holomorphy ring**  $H(K)$  of a formally real field  $K$  is defined as the intersection of the real valuation rings of  $K$ .

We have also :

(1)  $H(K) = \bigcap A(P)$  where  $P$  ranges over  $\chi(K)$  the space of orderings of  $K$  and

$A(P) = \{a \in K \mid \exists r \in \mathbb{Q} \ -r \leq_P a \leq_P r\}$  is the smallest valuation ring compatible with  $P$ .

(2)  $H(K) = \{a \in K \mid \exists n \in \mathbb{N} \text{ s. t. } n \pm a \in \sum K^2\}$

(3)  $H(K) = \mathbb{Q}[\{\frac{1}{1+q} \mid q \in \sum K^2\}]$

**The real spectrum of  $H(K)$  :**

$$\text{Sper}H(K) = \{ \alpha \mid \alpha \text{ prime ordering of } H(K) \}$$

**A prime ordering** is  $\alpha \subset H(K)$  satisfying :  $\alpha + \alpha \subset \alpha$ ,  $\alpha\alpha \subset \alpha$ ,  $H(K)^2 \subset \alpha$ ,  $-1 \notin \alpha$ ,  $\alpha \cup -\alpha = H(K)$ ,  $\alpha \cap -\alpha = \mathfrak{p}$  a prime ideal.

Then  $\alpha$  induces on  $H(K)/\mathfrak{p}$  a prime ordering  $\bar{\alpha}$  such that  $\bar{\alpha} \cap -\bar{\alpha} = \{0\}$ , therefore  $\bar{\alpha}$  extends uniquely to an ordering of  $\text{qf}(H(K)/\mathfrak{p})$ . Conversely given a prime ideal  $\mathfrak{p}$  and an ordering  $\bar{\alpha}$  on  $\text{qf}(H(K)/\mathfrak{p})$ , we get by restriction to  $H(K)/\mathfrak{p}$  a prime ordering with support  $\{0\}$ , the preimage in  $H(K)$  is a prime ordering with support  $\mathfrak{p}$ .

Hence we have **another description of  $\text{Sper}H(K)$  :**

$$\{ (\mathfrak{p}, \bar{\alpha}) \mid \mathfrak{p} \in \text{spec}H(K), \bar{\alpha} \text{ order of } \text{quot}(H(K)/\mathfrak{p}) \}$$

A **basis of open sets** of the topology on  $H(K)$  is

$$D(a_1, \dots, a_n) = \{\alpha \in \text{Sper}H(K) \mid a_i \notin -\alpha \text{ for all } i\}$$

The topology is compact (does not mean Hausdorff)

$\alpha$  is **minimal** if there is no  $\beta$  such that  $\beta \neq \alpha$  and  $\beta \subset \alpha$

$\beta$  is **maximal** if there is no  $\alpha$  such that  $\beta \neq \alpha$  and  $\alpha \subset \beta$

$$\text{MinSper}H(K) = \{\alpha \in \text{Sper}H(K) \mid \alpha \text{ minimal}\}$$

$$\text{MaxSper}H(K) = \{\beta \in \text{Sper}H(K) \mid \beta \text{ maximal}\}$$

$\beta$  is a **specialization** of  $\alpha$  whenever  $\alpha \subset \beta$ .

The specializations of a prime ordering form a chain under inclusion and a prime ordering is contained in a unique maximal specialization.

**Theorem :** *the following diagram is commutative*

$$\begin{array}{ccc}
 \chi(K) & \xrightarrow{\text{spec}} & \text{MinSpec} H(K) \\
 \downarrow \Lambda & & \downarrow \text{sp} \\
 M(K) & \xrightarrow{\text{res}} \text{Hom}(H(K), \mathbb{R}) \xrightarrow{j} & \text{MaxSpec} H(K)
 \end{array}$$

The horizontal mappings are **homeomorphisms**, and the vertical one are **continuous surjections**. The spaces are compact and  $M(K)$  and  $\text{MaxSpec} H(K)$  have quotient topologies inherited from  $\Lambda$  and  $\text{sp}$ .

$\text{spec}$  is defined by  $P \mapsto P \cap H(K)$ ,

$\text{sp}$  by  $\alpha \mapsto \alpha^{\max}$ ,

$\text{res}$  by  $\lambda \mapsto \lambda|_{H(K)}$  and  $j$  by  $\varphi \mapsto \varphi^{-1}(\mathbb{R}^2)$ .

## Part V

On connected components of  $M(K)$

The space of  $\mathbb{R}$ -places  $M(K)$  of a formally real field  $K$  can be **totally disconnected** (e.g. totally archimedean fields).

$M(K)$  can be **connected** like in the case of  $K = \mathbb{R}(X)$  or  $K = \mathbb{R}((X))$ .

**Theorem (Harman)** :  $M(K)$  is connected  $\Leftrightarrow M(K(X))$  connected  $\Leftrightarrow M(K((X)))$  connected.

**Theorem (Schulting)** :  $M(K)$  and  $M(K(X))$  have the same number of connected components.

**Theorem (Becker-G.)** : when the number of connected components of the space  $M(K)$  is finite then it is

$$1 + \log_2[K^{*2} \cap \sum K^4 : (\sum K^{*2})^2].$$



Denote by  $\pi_0(M(K))$  the set of connected components of the space of real places, using the units of the real holomorphy ring we have :

$$|\pi_0(M(K))| = \log_2[E : E^+]$$

where  $E$  are the units of the real holomorphy ring  $H(K)$  and where  $E^+ = E \cap \sum K^2$ .

For any formally real field  $K$  we can even get :

$$|\pi_0(M(K))| = 1 + \log_2[K^{*2} \cap \sum K^4 : (\sum K^{*2})^2]$$

by proving that  $K^{*2} \cap \sum K^4 / (\sum K^{*2})^2$  is isomorphic to  $E / (E^+ \cup -E^+)$ .

Behind this is the separation of connected components of  $M(K)$  by elements  $\beta \notin \sum K^2$  such that  $\beta^2 \in \sum K^4$ .

**Theorem** (Becker-G.) :  $\lambda_P$  and  $\lambda_Q$  are in two distinct connected components of  $M(K)$  if and only if

$$\exists \beta \in K^* (\beta \in P \cap -Q \text{ et } \beta^2 \in \sum K^4)$$

**Lemma** : if exists  $b$ , s. t.  $b \notin \sum K^2$  and  $b^2 \in \sum K^4$ , then does not exist  $P \in H(b)$  and  $Q \in H(-b)$  such that  $\lambda_P = \lambda_Q$ .

Otherwise  $b \notin (P \cap Q) \cup -(P \cap Q)$  and  $\lambda_P = \lambda_Q$  imply that there exists an ordering of level 2,  $P_2$ , such that  $P_2 \cup -P_2 = (P \cap Q) \cup -(P \cap Q)$  with  $b \notin P_2$  hence  $b \notin \sum K^4 = \cap P_{2,i}$ .

$\Leftarrow$  Suppose that  $\lambda_P$  and  $\lambda_Q$  are in the same connected component  $C$  of  $M(K)$  ( $P \neq Q$ ), and that there exists  $b \in P \cap -Q$  with  $b^2 \in \sum K^4$ ,  $\Lambda$  being closed  $C \cap \Lambda(H(b))$  and  $C \cap \Lambda(H(-b))$  are a partition of  $C$  into two non empty closed sets, impossible.

$\Rightarrow$  If  $\lambda_P$  and  $\lambda_Q$  are in  $C$  and  $C'$  two distinct connected components,  $M(K)$  being compact and Hausdorff, there exists an open-closed set  $U \supset C$  and  $U^c = M(K) \setminus U \supset C'$ .

Let  $X = \Lambda^{-1}(U)$  and  $Y = \Lambda^{-1}(U^c)$ ,  $X$  and  $Y$  form a partition of  $\chi(K)$ ;  $\Lambda$  being surjective

$$\Lambda^{-1}(\Lambda(\Lambda^{-1}(U))) = \Lambda^{-1}(U)$$

hence  $\Lambda^{-1}(\Lambda(X)) = X$  and also  $\Lambda^{-1}(\Lambda(Y)) = Y$ .

By Harman's following lemma "If  $\chi(K) = \chi_1 \cup \chi_2$  where  $\chi_1$  and  $\chi_2$  are open-closed disjoint sets such that  $\Lambda^{-1}(\Lambda(\chi_1)) = \chi_1$  and  $\Lambda^{-1}(\Lambda(\chi_2)) = \chi_2$  then there exists  $a$  such that  $\chi_1 = H(a)$  and  $\chi_2 = H(-a)$ " then there exists  $b$  such that  $X = H(b)$  and  $Y = H(-b)$  with  $b^2 \in \Sigma K^4$ , then we have  $b \in P \cap -Q$  and  $b^2 \in \Sigma K^4$ .

**Theorem (Becker-G.)** : *Let  $Y$  be a smooth projective irreducible variety over  $\mathbb{R}$  with function field  $K = \mathbb{R}(Y)$ , and denote  $|\pi_0(Y(\mathbb{R}))|$  the number of connected components of  $Y(\mathbb{R}) \neq \emptyset$  then :*

$$|\pi_0(Y(\mathbb{R}))| = 1 + \log_2[K^{*2} \cap \sum K^4 : (\sum K^{*2})^2]$$

The original proof uses the connected components of the space of  $\mathbb{R}$ -places  $M(K)$  since we can prove that:

$$|\pi_0(Y(\mathbb{R}))| = |\pi_0(M(\mathbb{R}(Y)))|$$

and that for the real field  $K = \mathbb{R}(Y)$ :

$$|\pi_0(M(K))| = 1 + \log_2[K^{*2} \cap \sum K^4 : (\sum K^{*2})^2]$$

Recall **Harnack's inequality** for algebraic curves :

$|\pi_0(Y(\mathbb{R}))| \leq g + 1$  with  $g = (n - 1)(n - 2)/2$  for an algebraic smooth curve of degree  $n$ .

Sketch of proof of

$$|\pi_0(Y(\mathbb{R}))| = |\pi_0(M(\mathbb{R}(Y)))|$$

We use the center map  $c : M(K) \rightarrow Y(\mathbb{R})$ , defined by  $c(\lambda) = c(V_\lambda)$  the unique point  $x$  ( $Y$  projective) whose local ring  $\mathfrak{o}_x$  is dominated by  $V_\lambda$  the valuation ring associated to the  $\mathbb{R}$ -place. In that case  $c$  is surjective, the central points being the closure of the regular points. And one can prove that  $c$  is continuous.

Bröcker proved that the fiber of a central point has a finite number of connected components, and if  $x$  is regular then the fiber is connected.

**Lemma:** *if an application, from a compact space  $X$  to another compact space  $Y$ , is surjective and continuous, and if each fiber is connected, then it induces a bijection between  $\pi_0(X)$  and  $\pi_0(Y)$ .*

# Part VI

Towards abstract  $\mathbb{R}$ –places

The space of orderings of a field, studied in relation with quadratic forms and real valuations, have been the origin of the theory of abstract spaces of orderings (1979-80) and of **Marshall's problem** :

*"is every abstract space of orderings the space of orders of some field ?"*

We have shown that -under certain conditions- one can associate to an abstract space of orderings a "*P-structure*" (partition of the space of orderings into subspaces which are fans and such that any fan intersects only one or two classes) corresponding to the space of  $\mathbb{R}$  - *places* in the field case.

**An abstract space of orderings** is  $(X, G)$  where  $G$  is a group of exponent 2 (hence abelian),  $-1$  a distinguished element of  $G$ ,  $X$  a subset of  $\text{Hom}(G, \{1, -1\})$  such that :

(1)  $X$  is a closed subset of  $\text{Hom}(G, \{1, -1\})$

(2)  $\forall \sigma \in X \quad \sigma(-1) = -1$

(3)  $\bigcap_{\sigma \in X} \ker \sigma = 1$  ( $\ker \sigma = \{a \in G \mid \sigma(a) = 1\}$ )

(4)  $f, g$  quadratic forms over  $G$

$$D_X(f \oplus g) = \cup \{D_X \langle x, y \rangle \mid x \in D_X(f), y \in D_X(g)\}$$

where  $D_X(f) = \{a \in G \text{ represented by } f\}$ , i.e. there exists  $g$  such that  $f \equiv_X \langle a \rangle \oplus g$  ( $f \equiv_X h$  iff  $f$  and  $h$  have same dimension, and  $\forall \sigma \in X$  same signature)



**Fans can be seen as sets of signatures**, then a level 1 fan of four elements is characterized by :

$$\sigma_0\sigma_1\sigma_2\sigma_3 = 1$$

and it corresponds to the fan  $T = \bigcap_{i=1}^4 \ker \sigma_i \cup \{0\}$ .

In the abstract situation **an abstract fan** is an abstract space of orderings  $(X, G)$  such that

$$X = \{\sigma \in \text{Hom}(G, \{1, -1\}) \mid \sigma(-1) = -1\}.$$

It is characterized by :

$$\forall \sigma_0, \sigma_1, \sigma_2 \in X \text{ the product } \sigma_0\sigma_1\sigma_2 \in X$$

**Definition :** a  $P$  – structure is an equivalence relation on a space of signatures  $(X, G)$  such that the canonical mapping  $\Lambda : X \rightarrow M$  ( $M$  is the set of equivalence classes) satisfies :

(1) each fiber is a fan ;

(2) if  $\sigma_0\sigma_1\sigma_2\sigma_3 = 1$  then  $\{\sigma_0, \sigma_1, \sigma_2, \sigma_3\}$  has a non empty intersection with at most two fibers

Marshall has shown that every abstract space of orderings has a  $P$  – structure.

But unlikely the case of the set of  $\mathbb{R}$ –places in a field, this  $P$  – structure,  $M$ , equipped with the quotient topology is not always Hausdorff.

### **Theorem (Marshall-G) :**

*Let  $(X, G)$  be a subspace of a space of signatures  $(X', G')$ , with 2-power exponent. For  $\sigma_0, \sigma_1 \in X$ , define  $\sigma_0 \sim \sigma_1$  if  $\sigma_0\sigma_1 = \tau^2 \in X'^2$ .*

*Then the following are equivalent :*

*(1)  $\sim$  defines a  $P$  – structure on  $X$ .*

*(2) If  $\sigma_0\sigma_1\sigma_2\sigma_3 = 1$ , then :*

*$\sigma_0$  is in relation by  $\sim$  with exactly one of the  $\sigma_1, \sigma_2, \sigma_3$*

*or  $\sigma_0$  is in relation by  $\sim$  with everyone of the  $\sigma_1, \sigma_2, \sigma_3$ .*

*Moreover in that case the induced  $P$  – structure defined on  $X$  by  $\sim$  has a Hausdorff topology.*

In fields, the space of  $\mathbb{R}$ -places is determined as soon as one knows the usual orders and the orderings of level 2.

$\mathbb{Q}(2^{\frac{1}{2}})$  and  $\mathbb{R}((X))$  have isomorphic spaces of orderings, but the first one has two  $\mathbb{R}$ -places and no ordering of level 2 and the second one has only one  $\mathbb{R}$ -place but has a 2-primary chain of higher level orderings.

2-primary chain of higher level orderings start with a pair of usual orders  $P_0, P_1$ , and corresponding 2-level ordering  $P_2$  satisfies

$$P_2 \cup -P_2 = (P_0 \cap P_1) \cup -(P_0 \cap P_1)$$

For a 2-level ordering  $a^2 \in P_2 \iff a \in P_2 \cup -P_2$  ; on the side of signatures this gives ( $\tau$  associated to  $P_2$ )

$$\tau(a^2) = \tau^2(a) = \sigma_0(a)\sigma_1(a)$$

**Definition :** a space of signatures of level  $2^n$  is  $(X, G)$ , where  $G$  is an abelian group of exponent  $2^n$ , and  $X$  contained in  $\text{Hom}(G, \mu_{2^n}) = \chi(G)$  is such that :

(0)  $\forall \sigma \in X, \forall k \in \mathbb{N}$  with  $k$  odd,  $\sigma^k \in X$

(1)  $X$  is a closed subset of  $\chi(G)$

(2)  $\forall \sigma \in X \quad \sigma(-1) = -1$  ( $-1$  distinguished element)

(3)  $\bigcap_{\sigma \in X} \ker \sigma = 1$  (où  $\ker \sigma = \{a \in G \mid \sigma(a) = 1\}$ )

(4)  $f, g$  forms over  $G$

$$D_X(f \oplus g) = \cup \{D_X \langle x, y \rangle \mid x \in D_X(f), y \in D_X(g)\}$$

# Part VII

## Open questions

- Does  $M(K((X)))$  have the same number of connected components as  $M(K)$  ? (Conjecture : yes)
- In which cases are the connected components of  $M(K)$  homeomorphic ? (conjecture : geometric case at least). Study the space  $\pi_0(M(K))$  of the connected components of  $M(K)$ .
- Study the space of valuation fans, and its relation with  $\text{Sper}H(K)$ ; recall  $\chi_K$  consists of valuation fans  $P_i$ , and to a  $\mathbb{R}$ -place  $\lambda$  can be associated a valuation fan  $\cap P_i$  where  $P_i \in \Lambda^{-1}(\lambda)$ . Or same question dealing with signatures.
- Try to define a notion of abstract space of valuation fans and write a theory of abstract  $\mathbb{R}$ -places. Then use abstract  $\mathbb{R}$ -places to solve Marshall's problem of realizability of abstract spaces of orderings.
- Characterize the topological spaces which are realizable as spaces of  $\mathbb{R}$ -places (see Osiak's talk for some results)